



State of Rhode Island and Providence Plantations  
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

Filing Period: January 1 - March 1

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2011

**1. Corporate ID No.** 000061724

**2. Name of Corporation** Chase Investment Services Corp.

**3. Street Address Principal Business Office:**

No. and Street: 420 W. VAN BUREN STREET

City or Town: CHICAGO

State: IL

Zip: 60606

Country: USA

**4. Business Phone No.**

312/732-6964

**5. State of Incorporation**

State: DE

**6. Brief Description of the Character of Business Conducted in Rhode Island**

INVESTMENT SECURITIES BROKER-DEALER

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

<b>Title</b>	<b>Individual Name</b> First, Middle, Last, Suffix	<b>Address</b> Address, City or Town, State, Zip Code, Country
PRESIDENT	ALAN J. CHABOT	270 PARK AVE., FL. 10 NEW YORK, NY 10017-2014 USA
TREASURER	CORRINE M. BURGER	1111 POLARIS PKWY., FL. 2A COLUMBUS, OH 43240-2050 USA
SECRETARY	JOHN M. KRAMER	10 S. DEARBORN ST., FL. 6 CHICAGO, IL 60603-2003 USA
ASSISTANT SECRETARY	MARIE I. JORDAN	10 S. DEARBORN ST., FL. 6 CHICAGO, IL 60603-2003 USA
ASSISTANT SECRETARY	ELLEN F. ANDERSON	1111 POLARIS PKWY., FL. 4P COLUMBUS, OH 43240-2050 USA
ASSISTANT SECRETARY	COLLEEN A. MEADE	4 NEW YORK PLAZA, FL. 19 NEW YORK, NY 10004-2413 USA
ASSISTANT SECRETARY	CHRISTINE BANNERMAN	4 NEW YORK PLAZA, FL. 19 NEW YORK, NY 10004-2413 USA
DIRECTOR	DAVID C. COHEN	1111 POLARIS PARKWAY, FL. 1A COLUMBUS, OH 43240-2050 USA
DIRECTOR	SOL GINDI	270 PARK AVE., FL. 32 NEW YORK, NY 10017-2014 USA
DIRECTOR	ALLEN J. CHABOT	270 PARK AVE., FL. 10 NEW YORK, NY 10017-2014 USA

#### 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$1.00	1,500.00	500

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 22 Day of February, 2011 at 3:17:41 PM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By MARIE I. JORDAN  
Signature of Authorized Representative of the Corporation

ASSISTANT SECRETARY  
Title

**This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.**

Form No. 630  
Revised 09/07

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