State of Rhode Island and Providence Plantations
Office of the Secretary of State

Fee: $50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

Foreign Business Corporation
Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of $25.00.

ANNUAL REPORT YEAR: 2011

1. Corporate ID No. 000045783

2. Name of Corporation Principal Financial Advisors, Inc.

3. Street Address Principal Business Office:

No. and Street: 711 HIGH STREET
ATTN: SHIRLEY HOLLISTER, S-6-W84
City or Town: DES MOINES State: IA Zip: 50392-0306 Country: USA

4. Business Phone No.

515-247-5111

5. State of Incorporation

State: IA

6. Brief Description of the Character of Business Conducted in Rhode Island

INVESTMENT ADVISOR

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
TREASURER	CRAIG L BASSETT	711 HIGH STREET DES MOINES, IA 50392-0306 USA
CFO	TRACY W BOLLIN	711 HIGH STREET DES MOINES, IA 50392 USA
SVP/COUNSEL	MICHAEL D ROUGHTON	711 HIGH STREET DES MOINES, IA 50392 USA
VICE PRESIDENT	RANDY L WELCH	711 HIGH STREET DES MOINES, IA 50392-0306 USA
VICE PRESIDENT	MARK A STARK	711 HIGH STREET DES MOINES, IA 50392-0306 USA
EVP/GENERAL COUNSEL	KAREN E SHAFF	711 HIGH STREET DES MOINES, IA 50392-0306 USA
SVP/CORPORATE SECRETARY	JOYCE N HOFFMAN	711 HIGH STREET DES MOINES, IA 50392-0306 USA
ASSISTANT CORPORATE SECRETARY	PATRICIA A BARRY	711 HIGH STREET DES MOINES, IA 50392-0306 USA
ASSISTANT GENERAL COUNSEL	PATRICK A KIRCHNER	711 HIGH STREET DES MOINES, IA 50392-0306 USA
CONTROLLER	TERI M BUTTON	711 HIGH STREET DES MOINES, IA 50392-0306 USA
PRESIDENT	MICHAEL P FINNEGAN	711 HIGH STREET DES MOINES, IA 50392 USA
CHIEF COMPLIANCE OFFICER	TRACI L WELDON	711 HIGH STREET DES MOINES, IA 50392-0306 USA
DIRECTOR	PAUL A BROWN	711 HIGH STREET DES MOINES, IA 50392-0306 USA
DIRECTOR	JONI L TIBBETTS	711 HIGH STREET DES MOINES, IA 50392-0306 USA
DIRECTOR	NORA M EVERETT	711 HIGH STREET DES MOINES, IA 50392-0306 USA
DIRECTOR	JULIA M LAWLER	711 HIGH STREET DES MOINES, IA 50392-0306 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares *Number of Shares*	Total Issued and Outstanding *Num of Shares*
CWP		$10.00	150,000.00	10000

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 24 Day of February, 2011 at 2:28:16 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the*

act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.

By PATRICIA A BARRY
Signature of Authorized Representative of the Corporation

ASSISTANT CORPORATE SECRETARY
Title

This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.

Form No. 630
Revised 09/07

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