



State of Rhode Island and Providence Plantations
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2011

1. Corporate ID No. 000120989

2. Name of Corporation World Group Securities, Inc.

3. Street Address Principal Business Office:

No. and Street: 11315 JOHNS CREEK PARKWAY

City or Town: JOHNS CREEK

State: GA Zip: 30097 Country: USA

4. Business Phone No.

770-453-9300

5. State of Incorporation

State: DE

6. Brief Description of the Character of Business Conducted in Rhode Island

TO ACT AS A BROKER-DEALER.

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

| Title | Individual Name First, Middle, Last, Suffix | Address Address, City or Town, State, Zip Code, Country |
|------------------------------|---|---|
| TREASURER | NANCY A MOATE | 11315 JOHNS CREEK PARKWAY DULUTH, GA 30097 USA |
| SECRETARY | LEESA M EASLEY | 11315 JOHNS CREEK JOHNS CREEK, GA 30097 USA |
| CFO | NANCY A. MOATE | 11315 JOHNS CREEK PARKWAY DULUTH, GA 30097 USA |
| VICE PRESIDENT | NANCY A MOATE | 11315 JOHNS CREEK PARKWAY DULUTH, GA 30097 USA |
| PRESIDENT | KEVIN L PALMER | 11315 JOHNS CREEK PARKWAY DULUTH, GA 30097- USA |
| CHIEF ADMINISTRATIVE OFFICER | DAN S TRIVERS | 11315 JOHNS CREEK PARKWAY DULUTH, GA 30097 USA |
| VICE PRESIDENT | AEYSHA MURSHEDI | 11315 JOHNS CREEK PARKWAY DULUTH, GA 30097 USA |
| CHIEF OPERATIONS OFFICER | AEYSHA MURSHEDI | 11315 JOHNS CREEK PARKWAY DULUTH, GA 30097 USA |
| VICE PRESIDENT | DERRICK VERMILLION | 11315 JOHNS CREEK PARKWAY DULUTH, GA 30097 USA |
| CHIEF COMPLIANCE OFFICER | DERRICK VERMILLION | 11315 JOHNS CREEK PARKWAY DULUTH, GA 30097 USA |
| CHIEF AML OFFICER | DERRICK VERMILLION | 11315 JOHNS CREEK PARKWAY DULUTH, GA 30097 USA |
| ASSISTANT SECRETARY | SCOTT W HAM | 4333 EDGEWOOD ROAD NE CEDAR RAPIDS, IA 52499 USA |
| ASSISTANT VICE PRESIDENT | PATTY J DODSON | 11315 JOHNS CREEK PARKWAY DULUTH, GA 30097 USA |
| ASSISTANT SECRETARY | SAUNDRA ARCHULETA | 11315 JOHNS CREEK PARKWAY DULUTH, GA 30097 USA |
| VICE PRESIDENT | DAN S. TRIVERS | 11315 JOHNS CREEK PARKWAY DULUTH, GA 30097 USA |
| GENERAL COUNSEL | LEESA M EASLEY | 11315 JOHNS CREEK PARKWAY JOHNS CREEK, GA 30097 USA |
| CHIEF SUPERVISORY OFFICER | TODD CARPENTER | 11315 JOHNS CREEK PARKWAY JOHNS CREEK, GA 30097 USA |
| DIRECTOR | SUSAN E DAVIES | 11315 JOHNS CREEK PARKWAY DULUTH, GA 30097 USA |
| DIRECTOR | PAUL MINECK | 11315 JOHNS CREEK PARKWAY DULUTH, GA 30097 USA |
| DIRECTOR | KEVIN L PALMER | 11315 JOHNS CREEK PARKWAY DULUTH, GA 30097 USA |
| DIRECTOR | JACK D LINDER | 11315 JOHNS CREEK PARKWAY DULUTH, GA 30097 USA |

8. Shares Authorized and Issued

| Class of Stock | Series of Stock | Par Value Per Share | Total Authorized Shares <i>Number of Shares</i> | Total Issued and Outstanding <i>Num of Shares</i> |
|----------------|-----------------|---------------------|--|--|
| CWP | | \$1.00 | 1,000.00 | 100 |

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 28 Day of February, 2011 at 4:37:32 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By SAUNDRA ARCHULETA
Signature of Authorized Representative of the Corporation

ASSISTANT SECRETARY
Title

This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.

Form No. 630
Revised 09/07

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