



State of Rhode Island
and Providence Plantations
Office of the Secretary of State

A. Ralph Mollis, Secretary of State
Corporations Division
148 W. River Street
Providence, RI 02904-2640
401.222.3000

PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2011

Filing Period: January 1 - March 1 • **Filing Fee:** \$50.00* • **THIS REPORT MUST BE TYPED OR PRINTED LEGIBLY IN BLACK INK.**
* In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

1. Corporate ID No. 23393		2. Name of Corporation RAYMOND JAMES FINANCIAL SERVICES, INC.	
3. Street Address Principal Business Office 880 CARILLON PARKWAY			
4. Business Phone No. 727-567-1000		City ST PETERSBURG	State FL
		Zip 33716	5. State of Incorporation FLORIDA
6. Brief Description of the Character of Business Conducted in Rhode Island ☒ 7. NAMES AND ADDRESSES OF THE OFFICERS: ("X" BOX FOR ATTACHMENT) ☐ FILL IN SPACES BEFORE USING ATTACHMENTS			
President Name RICHARD G. AVERITT, III		Vice President Name DONALD RUNKLE	
Street Address 880 CARILLON PARKWAY		Street Address 880 CARILLON PARKWAY	
City ST PETERSBURG	State FL	City ST PETERSBURG	State FL
Zip 33716		Zip 33716	
Secretary Name MARY E. HAAS		Treasurer Name RICHARD B. FRANZ, II	
Street Address 880 CARILLON PARKWAY		Street Address 880 CARILLON PARKWAY	
City ST PETERSBURG	State FL	City ST PETERSBURG	State FL
Zip 33716		Zip 33716	
8. NAMES AND ADDRESSES OF THE DIRECTORS: ("X" BOX FOR ATTACHMENT) ☐ FILL IN SPACES BEFORE USING ATTACHMENTS			
Director Name RICHARD G. AVERITT, III		Director Name JAMES A. FULP	
Street Address 880 CARILLON PARKWAY		Street Address 880 CARILLON PARKWAY	
City ST PETERSBURG	State FL	City ST PETERSBURG	State FL
Zip 33716		Zip 33716	
Director Name THOMAS R. TREMAINE		Director Name CHET HELCK	
Street Address 880 CARILLON PARKWAY		Street Address 880 CARILLON PARKWAY	
City ST PETERSBURG	State FL	City ST PETERSBURG	State FL
Zip 33716		Zip 33716	
9. SHARES AUTHORIZED		10. SHARES ISSUED ("X" BOX FOR ATTACHMENT) ☐	
This information is currently of record in the Office of the Secretary of State. Changes require an additional filing. See Section 9 of instruction sheet.		ISSUED SHARES — THIS SECTION MUST BE COMPLETED	
		Number of Shares 5,000	Class/Series COMMON
		Par Value \$ 1.00	

This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

FILED

File Date FEB 28 2011
Check No. BY 34819
By: _____
FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature Bradley J Bond Date 2/24/11
BRADLEY J BOND
Print or Type Name
ASST. TREASURER
Title