



State of Rhode Island  
and Providence Plantations  
Office of the Secretary of State

A. Ralph Mollis, Secretary of State  
Corporations Division  
148 W. River Street  
Providence, RI 02904-2615  
401.222.3040

**NON-PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2011**

**Filing Period:** June 1 - June 30 • **Filing Fee:** \$20.00\* • **THIS REPORT MUST BE TYPED OR PRINTED LEGIBLY IN BLACK INK.**

\* In accordance with R.I.G.L. 7-6-94, each corporation failing or refusing to file its annual report within the time prescribed by law (R.I.G.L. 7-6-91) is subject to a penalty fee of \$25.00.

|   |                    |   |  |                        |                          |
|---|--------------------|---|--|------------------------|--------------------------|
| 1. Corporate ID No.<br><b>26680</b>   |                    | 2. Name of Corporation<br><b>Naval War College Foundation</b>                   |  |                        |                          |
| 3. State of Incorporation<br><b>Rhode Island</b>  |                    | 4. Corporate address in Rhode Island - Street Address<br><b>686 Cushing Rd.</b> |  | City<br><b>Newport</b> | Zip<br><b>02841-1213</b> |
| 5. Foreign corporation. Enter principal office address  |                    |   | City   | State                  | Zip                      |
| 6. Brief Description of the character of the affairs which are actually conducted in Rhode Island<br><b>Solicit, receive, administer and disburse funds and property to support the Naval War College</b> |                    |   |  |                        |                          |
| 7. NAMES AND ADDRESSES OF THE OFFICERS: ("X" BOX FOR ATTACHMENT) <input type="checkbox"/> FILL IN SPACES BEFORE USING ATTACHMENTS   |                    |   |  |                        |                          |
| President Name<br><b>Chairman: Mr. Peter Pelletier</b>  |                    |   | Vice President Name<br><b>Vice Chairman: MajGen R. Stephen Seiter USA (Ret.)</b> |                        |                          |
| Street Address<br><b>64 Shrine Rd.</b>  |                    |   | Street Address<br><b>203 Coggeshall Ave.</b>                                     |                        |                          |
| City<br><b>Norwell</b>  | State<br><b>MA</b> | Zip<br><b>02061-2235</b>  | City<br><b>Newport</b>   | State<br><b>RI</b>     | Zip<br><b>02840</b>      |
| Secretary Name<br><b>RADM Dennis F. McCoy, JAGC USN (Ret.)</b>  |                    |   | Treasurer Name<br><b>Mr. Joseph Roxe</b>   |                        |                          |
| Street Address<br><b>72 Ayrault St.</b>   |                    |   | Street Address<br><b>Bay Holdings, LLC, 6th floor, 450 Park Ave.</b>             |                        |                          |
| City<br><b>Newport</b>  | State<br><b>RI</b> | Zip<br><b>02840</b>   | City<br><b>New York</b>  | State<br><b>NY</b>     | Zip<br><b>10022</b>      |
| 8. NAMES AND ADDRESSES OF THE DIRECTORS: ("X" BOX FOR ATTACHMENT) <input checked="" type="checkbox"/> FILL IN SPACES BEFORE USING ATTACHMENTS   |                    |   |  |                        |                          |
| THE NUMBER OF DIRECTORS OF A DOMESTIC (RHODE ISLAND) CORPORATION SHALL NOT BE LESS THAN THREE (3). R.I.G.L. 7-6-23  |                    |   |  |                        |                          |
| Director Name<br><b>Mr. Dionel M. Alves</b>   |                    |   | Director Name<br><b>Mr. Edward H. Bragg Jr.</b>                                  |                        |                          |
| Street Address<br><b>2121 Crystal Dr., Suite 100</b>  |                    |   | Street Address<br><b>44 Quail Rd.</b>  |                        |                          |
| City<br><b>Arlington</b>  | State<br><b>VA</b> | Zip<br><b>22202</b>   | City<br><b>Greenwich</b>   | State<br><b>CT</b>     | Zip<br><b>06831-3372</b> |
| Director Name<br><b>Mr. Richard N. Bohan</b>  |                    |   | Director Name<br><b>Mr. Norman H. Brown Jr.</b>                                  |                        |                          |
| Street Address<br><b>632 Tuckerman Ave.</b>   |                    |   | Street Address<br><b>204 Ferris Hill Rd.</b>                                     |                        |                          |
| City<br><b>Middletown</b>   | State<br><b>RI</b> | Zip<br><b>02842</b>   | City<br><b>New Canaan</b>  | State<br><b>CT</b>     | Zip<br><b>06840</b>      |
| 9. REGISTERED AGENT IN RHODE ISLAND   |                    |   |  |                        |                          |
| This information is currently of record in the Office of the Secretary of State. Changes require filing of Form 641 - R.I.G.L. 7-6-13/7-6-78  |                    |   |  |                        |                          |

This report must be signed by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee

26680

FILED

File Date **JUN 07 2011**  
 Check No. \_\_\_\_\_  
 By: *MAA*  
5698  
 FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

*Peter A. Pelletier* 6/6/11  
 Signature of Officer Date  
Peter A. Pelletier  
 Print or Type Name of Officer  
Chairman  
 Title of Officer

NWCF Board of Trustees 2011

| Name                                   | Company Title           | Company                                 | Address Line 1                  | Address Line 2        | City                | State | Zip   | +4   |
|--|-------------------------|---|---------------------------------|-----------------------|---------------------|-------|-------|------|
| Mr. Dionel M. Aviles                   | Vice President          | Sensors & Advanced Technology Solutions | 2121 Crystal Drive, Suite 100   |                       | Arlington           | VA    | 22202 |      |
| Mr. Richard N. Bohan                   |                         |   | Sea Mist                        | 652 Tuckerman Avenue  | Middletown          | RI    | 02842 | 6061 |
| Mr. Edward H. Bragg Jr.                |                         |   | 44 Quail Road                   |                       | Greenwich           | CT    | 06831 | 3372 |
| Mr. Norman H. Brown Jr.                |                         |   | 204 Ferris Hill Road            |                       | New Canaan          | CT    | 06840 |      |
| RADM Julius S. Caesar USNR             |                         |   | 6307 Lee Forest Path            |                       | Centerville         | VA    | 20120 |      |
| Mr. William J. Constantine             | Managing Director       | Legg Mason Investment Counsel           | 640 Fifth Avenue, 9th Floor     |                       | New York            | NY    | 10019 |      |
| Mrs. Nancy Wendt Cushing               |                         |   | 80 Ridge Road                   |                       | Newport             | RI    | 02840 |      |
| Mr. Harold B. Finn                     |                         |   | 121 Birch Lane                  |                       | Greenwich           | CT    | 06830 |      |
| Dr. Vail P. Garvin FACHE               |                         |   | 1028 Cox Avenue                 |                       | Washington Crossing | PA    | 18977 | 1418 |
| CAPT James F. Giblin Jr. USN (Ret.)    |                         |   | 103 Hilltop Drive               |                       | Portsmouth          | RI    | 02871 | 1258 |
| Mrs. Mary Gilbane                      |                         |   | 20 LeRoy Avenue                 |                       | Newport             | RI    | 02840 |      |
| Mr. David S. Gordon                    |                         |   | Ballygrangy House               | 51 Ridge Road         | Newport             | RI    | 02840 | 3717 |
| Mr. H. Dale Hemmerdinger               |                         |   | 40 Central Park South, Apt #20  |                       | New York            | NY    | 10019 | 1633 |
| CAPT James O. Honigschmidt USNR (Ret.) | President & CEO         | Doctors Referral Service, LLC           | 210 West 22nd Street, Suite 130 |                       | Oak Brook           | IL    | 60523 |      |
| Dr. Harold I. Lunde                    |                         |   | 880 Country Club Drive          |                       | Bowling Green       | OH    | 43402 | 1602 |
| Mr. Edward R. Marichetti               |                         |   | 20 Ralston Drive                |                       | Essex               | MA    | 01929 |      |
| RADM Dennis F. McCoy JAGC, USN (Ret.)  |                         |   | 72 Ayrault Street               |                       | Newport             | RI    | 02840 | 4041 |
| CAPT Hugh E. McLoone USNR (Ret.)       |                         |   | 2001 Shoreline Drive, #209      |                       | Alameda             | CA    | 94501 | 6106 |
| RADM Ronald R. Morgan USNR (Ret.)      |                         |   | 7333 East Shoreline Drive       |                       | Tucson              | AZ    | 85715 | 3451 |
| LTC Peter M. Mott USAR (Ret.)          |                         |   | 525 Rockefeller Road            |                       | Lake Forest         | IL    | 60045 | 3139 |
| LCDR William A. Obenshain USNR (Ret.)  |                         |   | 2236 N. Lincoln Park West       |                       | Chicago             | IL    | 60614 |      |
| Mr. Peter A. Pelletier                 |                         |   | 64 Shrine Road                  |                       | Norwell             | MA    | 02061 | 2235 |
| Mr. Richard M. Rosenberg               | Chairman and CEO (Ret.) | Bank of America                         | Mailcode #CA5-705-11-01         | 555 California Street | San Francisco       | CA    | 94104 | 1502 |
| Mr. Joseph D. Rove                     | Chairman                | Bay Holdings, LLC                       | 450 Park Avenue, 6th Floor      |                       | New York            | NY    | 10022 | 2751 |
| MajGen John J. Salesses USMCR (Ret.)   |                         |   | 3 Jacobs Point Road             |                       | Warren              | RI    | 02885 |      |
| MG Stephen R. Seiter USA (Ret.)        |                         |   | 203 Coggeshall Avenue           |                       | Newport             | RI    | 02840 | 4289 |
| Mr. Richard B. Sheffield               |                         |   | 255 Indian Avenue               |                       | Middletown          | RI    | 02842 | 5764 |
| Mr. Orrin R. Smith                     |                         |   | John's Island                   | 141 Stingaree Point   | Verd Beach          | FL    | 32963 |      |
| Mr. Bret L. Stephens                   |                         |   | 450 North End Ave, Apt 23A      |                       | New York            | NY    | 10282 |      |
| RADM Joseph C. Strasser USN (Ret.)     |                         |   | 62 Sea Meadow Drive             |                       | Portsmouth          | RI    | 02871 | 3933 |
| Dr. Daniel M. Thys                     |                         |   | 135 Hickory Grove Drive         |                       | Larchmont           | NY    | 10538 | 1454 |
| Mr. John A. and Mrs. Hope van Beuren   |                         |   | 15 Indian Avenue                |                       | Middletown          | RI    | 02842 | 98   |
| Mrs. Judith D. Wood                    |                         |   | 8 Enigma Lane                   | PO Box 425            | Bailey Island       | ME    | 04003 | 425  |

FILED  
 JUN 07 2011  
 By *[Signature]*  
 ID # 26680