



State of Rhode Island
and Providence Plantations
Office of the Secretary of State

A. Ralph Mollis, Secretary of State
Corporations Division
148 W. River Street
Providence, RI 02904-2615
401.222.3040

NON-PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2011

Filing Period: June 1 - June 30 • **Filing Fee:** \$20.00* • **THIS REPORT MUST BE TYPED OR PRINTED LEGIBLY IN BLACK INK.**

* In accordance with R.I.G.L. 7-6-94, each corporation failing or refusing to file its annual report within the time prescribed by law (R.I.G.L. 7-6-91) is subject to a penalty fee of \$25.00.

1. Corporate ID No. 26680		2. Name of Corporation Naval War College Foundation			
3. State of Incorporation Rhode Island		4. Corporate address in Rhode Island - Street Address 686 Cushing Rd.		City Newport	Zip 02841-1213
5. Foreign corporation. Enter principal office address			City	State	Zip
6. Brief Description of the character of the affairs which are actually conducted in Rhode Island Solicit, receive, administer and disburse funds and property to support the Naval War College					
7. NAMES AND ADDRESSES OF THE OFFICERS: ("X" BOX FOR ATTACHMENT) ☐ FILL IN SPACES BEFORE USING ATTACHMENTS					
President Name Chairman: Mr. Peter Pelletier			Vice President Name Vice Chairman: MajGen R. Stephen Seiter USA (Ret.)		
Street Address 64 Shrine Rd.			Street Address 203 Coggeshall Ave.		
City Norwell	State MA	Zip 02061-2235	City Newport	State RI	Zip 02840
Secretary Name RADM Dennis F. McCoy, JAGC USN (Ret.)			Treasurer Name Mr. Joseph Roxe		
Street Address 72 Ayrault St.			Street Address Bay Holdings, LLC, 6th floor, 450 Park Ave.		
City Newport	State RI	Zip 02840	City New York	State NY	Zip 10022
8. NAMES AND ADDRESSES OF THE DIRECTORS: ("X" BOX FOR ATTACHMENT) ☒ FILL IN SPACES BEFORE USING ATTACHMENTS					
THE NUMBER OF DIRECTORS OF A DOMESTIC (RHODE ISLAND) CORPORATION SHALL NOT BE LESS THAN THREE (3). R.I.G.L. 7-6-23					
Director Name Mr. Dionel M. Alves			Director Name Mr. Edward H. Bragg Jr.		
Street Address 2121 Crystal Dr., Suite 100			Street Address 44 Quail Rd.		
City Arlington	State VA	Zip 22202	City Greenwich	State CT	Zip 06831-3372
Director Name Mr. Richard N. Bohan			Director Name Mr. Norman H. Brown Jr.		
Street Address 632 Tuckerman Ave.			Street Address 204 Ferris Hill Rd.		
City Middletown	State RI	Zip 02842	City New Canaan	State CT	Zip 06840
9. REGISTERED AGENT IN RHODE ISLAND					
This information is currently of record in the Office of the Secretary of State. Changes require filing of Form 641 - R.I.G.L. 7-6-13/7-6-78					

This report must be signed by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee

26680

FILED

File Date **JUN 07 2011**

Check No. **By [Signature]**

By: **5698**

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature of Officer

Peter A. Pelletier

Print or Type Name of Officer

Chairman
Title of Officer

6/6/11
Date

Name	Company Title	Company	Address Line 1	Address Line 2	City	State	Zip	+4
Mr. Dionel M. Aviles	Vice President	Sensors & Advanced Technology Solutions	2121 Crystal Drive, Suite 100		Arlington	VA	22202	
Mr. Richard N. Bohan			Sea Mist	632 Tuckerman Avenue	Middletown	RI	02842	6061
Mr. Edward H. Bragg Jr.			44 Quail Road		Greenwich	CT	06831	3372
Mr. Norman H. Brown Jr.			204 Ferris Hill Road		New Canaan	CT	06840	
RADM Julius S. Caesar USNR			6307 Lee Forest Path		Centerville	VA	20120	
Mr. William J. Constantine	Managing Director	Legg Mason Investment Counsel	640 Fifth Avenue, 9th Floor		New York	NY	10019	
Mrs. Nancy Wendt Cushing			80 Ridge Road		Newport	RI	02840	
Mr. Harold B. Finn			121 Birch Lane		Greenwich	CT	06830	
Dr. Vail P. Garvin FACHE			1028 Cox Avenue		Washington Crossing	PA	18977	1418
CAPT James F. Giblin Jr. USN (Ret.)			103 Hilltop Drive		Portsmouth	RI	02871	1258
Mrs. Mary Gilbane			20 LeRoy Avenue		Newport	RI	02840	
Mr. David S. Gordon			Ballygrangey House	51 Ridge Road	Newport	RI	02840	3717
Mr. H. Dale Hemmerdinger			40 Central Park South, Apt #20		New York	NY	10019	1633
CAPT James O. Honigschmidt USNR (Ret.)	President & CEO	Doctors Referral Service, LLC	210 West 22nd Street, Suite 130		Oak Brook	IL	60523	
Dr. Harold I. Lunde			880 Country Club Drive		Bowling Green	OH	43402	1602
Mr. Edward R. Marchetti			20 Relston Drive		Essex	MA	01929	
RADM Dennis F. McCoy JAGC, USN (Ret.)			72 Ayrault Street		Newport	RI	02840	4041
CAPT Hugh E. McLoone USNR (Ret.)			2001 Shoreline Drive, #209		Alameda	CA	94501	6106
RADM Ronald R. Morgan USNR (Ret.)			7333 East Shoreline Drive		Tucson	AZ	85715	3451
LTC Peter M. Mott USAR (Ret.)			525 Rockefeller Road		Lake Forest	IL	60045	3139
LCDR William A. Obenshain USNR (Ret.)			2236 N. Lincoln Park West		Chicago	IL	60614	
Mr. Peter A. Pelletier			64 Shrine Road		Norwell	MA	02061	2235
Mr. Richard M. Rosenberg	Chairman and CEO (Ret.)	Bank of America	Mailcode #CAG-705-11-01	555 California Street	San Francisco	CA	94104	1502
Mr. Joseph D. Rowe	Chairman	Bay Holdings, LLC	450 Park Avenue, 6th Floor		New York	NY	10022	2751
MajGen John J. Salas USMCR (Ret.)			3 Jacobs Point Road		Warren	RI	02885	
MG Stephen R. Seiter USA (Ret.)			203 Coggeshall Avenue		Newport	RI	02840	4289
Mr. Richard B. Sheffield			255 Indian Avenue		Middletown	RI	02842	5764
Mr. Orrin R. Smith			John's Island	141 Stingaree Point	Vero Beach	FL	32963	
Mr. Bret L. Stephens			450 North End Ave, Apt 23A		New York	NY	10282	
RADM Joseph C. Strasser USN (Ret.)			62 Sea Meadow Drive		Portsmouth	RI	02871	3933
Dr. Daniel M. Thys			135 Hickory Grove Drive		Larchmont	NY	10538	1454
Mr. John A. and Mrs. Hope van Beuren			15 Indian Avenue		Middletown	RI	02842	98
Mrs. Judith D. Wood			8 Enigma Lane	PO Box 425	Bailey Island	ME	04003	425

FILED
JUN 07 2011
By *mne*
ID # 26680