

Filing and License Fee: \$310.00 minimum

ID Number: _____



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
Office of the Secretary of State
Corporations Division
148 W. River Street
Providence, Rhode Island 02904-2615

BUSINESS CORPORATION

APPLICATION FOR CERTIFICATE OF AUTHORITY

Pursuant to the provisions of Section 7-1.2-1405 of the General Laws of Rhode Island, 1956, as amended, the undersigned foreign corporation hereby applies for a Certificate of Authority to transact business in the State of Rhode Island, and for that purpose submits the following statement:

- 1. The name of the corporation is Carl Warren & Company
- 2. It is incorporated under the laws of California
- 3. The name, if different, which it elects to use in Rhode Island is:

(a) *If the name of the corporation in its jurisdiction of incorporation does not contain the word "corporation," "company," "incorporated," or "limited," or an abbreviation thereof, then list the name of the corporation with the addition of one of the above corporate endings for use in Rhode Island:*

(b) *If the corporate name is not available in Rhode Island, then set forth below the fictitious name under which the corporation will qualify and transact business in Rhode Island as stated in the "Fictitious Business Name Statement" to be filed with this application:*

- 4. The date of its incorporation is 12/26/74 and the period of its duration is Perpetual

- 5. The address of its principal office in the state or country under the laws of which it is incorporated is _____
C/O Tom Boylan, 770 S. Placentia Avenue, Placentia, CA 92870

- 6. The address of its proposed registered office in Rhode Island is 222 Jefferson Boulevard, Suite 200
(Street Address, not P.O. Box)

Warwick, RI 02888 and the name of its proposed registered agent in Rhode Island at
(City/Town) (Zip Code)

that address is Corporation Service Company
(Name of Agent)

- 7. The purpose or purposes which it proposes to pursue in the transaction of business in Rhode Island are:

Claims Adjusting

- 8 (a) The names and respective addresses of its directors (optional unless directors are required under the laws of the state or country of which it is incorporated).

	<u>Name</u>	<u>Address</u>
Director	<u>See Attached</u>	
Director		
Director		
Director		<u>12:12</u>

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(b) The names and respective addresses of its principal officers (mandatory if directors are not required under the laws of the state or country of which it is incorporated).

	<u>Name</u>	<u>Address</u>
President	See Attached	
Vice President		
Treasurer		
Secretary		

9. The aggregate number of shares which it has authority to issue, itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is:

<u>Number of Shares</u>	<u>Class</u>	<u>Series</u>	<u>Par Value or Statement that Shares are without Par Value</u>
500,000	Common		\$1.00

10. (a) An estimate of the value of all property to be owned by the corporation for the following year, wherever located, is \$ 4,258,596.
- (b) An estimate of the value of the corporation's property to be located within Rhode Island during the following year is \$ 0.00.
- (c) An estimate, expressed as a percentage, of the proportion that the estimated value of the property of the corporation to be located within this state during the following year bears to the value of all property of the corporation to be owned during the following year, wherever located, is 0.0 %. [divide (b) by (a) and multiply by 100 to obtain the percentage].
11. (a) An estimate of the gross amount of business to be transacted by the corporation during the following year is \$ 35,000,000.
- (b) An estimate of the gross amount of business to be transacted by the corporation at or from places of business in Rhode Island during the following year is \$ 0.00.
- (c) An estimate, expressed as a percentage, of the proportion that the gross amount of business to be transacted by the corporation at or from places of business in this state during the following year bears to the gross amount thereof which will be transacted by the corporation during the following year is 0.0 % [divide (b) by (a) and multiply by 100 to obtain the percentage].
12. This application is accompanied by a certificate of Good Standing issued by the proper officer of the state or country under the laws of which it is incorporated.
13. This Application for Certificate of Authority shall be effective upon filing unless a specified date is provided which shall be no later than the 90th day after the date of this filing 7/1/2011

Under penalty of perjury, I declare and affirm that I have examined this Application for Certificate of Authority, including any accompanying attachments, and that all statements contained herein are true and correct.

Date: 7-8-11

Tom Boylan
 Signature of Authorized Officer of the Corporation
 Tom Boylan Executive Vice President
 Type or Print Name of Authorized Officer

Carl Warren & Company

Principal Office Address: 770 S. Placentia Avenue, Placentia, CA 92870

OFFICERS & DIRECTORS LIST

Officer's Name	Title	Business Street Address	City	State	Zip
1 Caryn B. Siebert	President/CEO	770 S. Placentia Avenue	Placentia	CA	92870
2 Michael Reed	Secretary	770 S. Placentia Avenue	Placentia	CA	92870
3 Thomas P. Boylan	Executive Vice President	770 S. Placentia Avenue	Placentia	CA	92870
4 Dwight J. Kunz	Assistant Vice President	770 S. Placentia Avenue	Placentia	CA	92870
5 Rich McAbee	Assistant Vice President	11209 N. Tatum Blvd., Suite 110	Phoenix	AZ	85028
6 Steven Vissman	Assistant Vice President	770 S. Placentia Avenue	Placentia	CA	92870
7 Mark Bernstein	Assistant Vice President	10509 Vista Sorrento Parkway, Suite 420	San Diego	CA	92121
8 Stan Hopkins	Assistant Vice President	1400 112th Avenue SE, Suite 218	Bellevue	CA	98004
9 Allison Duncan	Assistant Vice President	770 S. Placentia Avenue	Placentia	CA	92870

Director's Name	Title	Business Street Address	City	State	Zip
1 Robert G. Sanchez	Chairman of the Board	770 S. Placentia Avenue	Placentia	CA	92870
2 Caryn B. Siebert	Board Member	770 S. Placentia Avenue	Placentia	CA	92870
3 John Q. Adams	Board Member	770 S. Placentia Avenue	Placentia	CA	92870
4 Suzanne Shank	Board Member	770 S. Placentia Avenue	Placentia	CA	92870
5 Thomas P. Boylan	Board Member	770 S. Placentia Avenue	Placentia	CA	92870
6 Kevin Hanson	Board Member	770 S. Placentia Avenue	Placentia	CA	92870

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Principal Office Address: 770 S. Placentia Avenue, Placentia, CA 92870

OFFICERS & DIRECTORS LIST

Officer's Name	Title	Residence Street Address	City	State	Zip
1 Caryn B. Siebert	President/CEO	1057 S. Creekevlew Lane	Anaheim Hills	CA	92808
2 Michael R. Reed	Secretary	87-B Calle Aragon	Laguna Hills	CA	92653
3 Thomas P. Boylan	Executive Vice President	17 Suffolk Downs	Laguna Niguel	CA	92677
4 Dwight J. Kunz	Assistant Vice President	509 Tumbleweed Rd	Anaheim Hills	CA	92807
5 Rich McAbee	Assistant Vice President	7453 E. Monterra Way	Scottsdale	AZ	85262
6 Steven Viissman	Assistant Vice President	2109 Colina Del Arco Iris	San Clemente	CA	92673
7 Mark Bernstein	Assistant Vice President	14888 Fox Hunt Ln	San Diego	CA	92128
8 Stan Hopkins	Assistant Vice President	7710 203rd St SE	Snohomish	WA	98296
9 Allison Duncan	Assistant Vice President	9351 Candlewood Dr	Huntington Beach	CA	92646

Director's Name	Title	Residence Street Address	City	State	Zip
1 Robert G. Sanchez	Board Member	11709 Porter Valley Drive	Northridge	CA	91326
5 Caryn B. Siebert	President/CEO	1057 S. Creekevlew Lane	Anaheim Hills	CA	92808
2 John Q. Adams	Board Member	301 Via Los Tilos	San Clemente	CA	92673
3 Suzanne Shank	Board Member	2703 W. 66th Terrace	Mission Hills	KS	66208
6 Thomas P. Boylan	Treasurer	17 Suffolk Downs	Laguna Niguel	CA	92677
4 Kevin Hanson	Board Member	4967 N Ardmore	Whitefish Bay	WI	60610

State of California
Secretary of State

CERTIFICATE OF STATUS

ENTITY NAME:

CARL WARREN & COMPANY

FILE NUMBER: C0728362
FORMATION DATE: 12/26/1974
TYPE: DOMESTIC CORPORATION
JURISDICTION: CALIFORNIA
STATUS: ACTIVE (GOOD STANDING)

I, DEBRA BOWEN, Secretary of State of the State of California,
hereby certify:

The records of this office indicate the entity is authorized to
exercise all of its powers, rights and privileges in the State of
California.

No information is available from this office regarding the financial
condition, business activities or practices of the entity.



IN WITNESS WHEREOF, I execute this certificate
and affix the Great Seal of the State of
California this day of June 14, 2011.

Debra Bowen

DEBRA BOWEN
Secretary of State



State of Rhode Island and Providence Plantations

A. Ralph Mollis

Secretary of State

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

I, A. RALPH MOLLIS, Secretary of State of the State of Rhode Island
and Providence Plantations, hereby certify that this document, duly
executed in accordance with the provisions of Title 7 of the General Laws
of Rhode Island, as amended, has been filed in this office on this day:

A handwritten signature in black ink that reads "A. Ralph Mollis".

A. RALPH MOLLIS

Secretary of State

