



State of Rhode Island and Providence Plantations
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Limited Liability Company
Annual Report**

Filing Period: September 1 - November 1

In accordance with R.I.G.L. 7-16-66(d), each limited liability company failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-16-66(b&c)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2011

1. ID No. 000108349

2. Exact Name of the Limited Liability Company Janney Montgomery Scott LLC

3. State of Formation

State: DE

4. Brief Description of the Character of the Business Which is Actually Conducted in Rhode Island

BROKER DEALER SERVICES

5. Principal Office Address

No. and Street: 1801 MARKET STREET

City or Town: PHILADELPHIA State: PA Zip: 19103 Country: USA

6. Mailing Address of Limited Liability Company and Name or Title of Contact Person:

Contact Name: MARILYN HOWARD COX Contact Title: PARALEGAL

No. and Street: 1801 MARKET STREET
8TH FLOOR LEGAL DEPARTMENT

City or Town: PHILADELPHIA State: PA Zip: 19103 Country: USA

**7. Name and Address of Each Manager of the Limited Liability Company, if Applicable.
DO NOT LIST MEMBERS**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
MANAGER	A DAVID BANKS	555 LONG WHARF DRIVE 5TH FLOOR NEW HAVEN, CT 06511 USA
MANAGER	G THOMAS CROONQUIST JR	505 MAIN STREET 3RD FLOOR HACKENSACK, NJ 07602 USA
MANAGER	MARK R ESKIN	1801 MARKET STREET PHILADELPHIA, PA 19103 USA
MANAGER	SYDNEY GOSSER	8101 WASHINGTON LANE WYNCOTE, PA 19095 USA
MANAGER	JOHN D MAINE	1801 MARKET STREET PHILADELPHIA, PA 19103 USA
MANAGER	EILEEN MCDONNELL	600 DRESHER ROAD HORSHAM, PA 19044 USA
MANAGER	DAVID OMALLEY	1801 MARKET STREET PHILADELPHIA, PA 19103 USA
MANAGER	STUART M SINGER	4064 COLONY ROAD 2 MORROCROFT CENTRE 1ST FLOOR CHARLOTTE, NC 28211 USA
MANAGER	TIMOTHY C SCHEVE	1801 MARKET STREET PHILADELPHIA, PA 19103 USA
MANAGER	JEROME F LOMBARD JR	1801 MARKET STREET PHILADELPHIA, PA 19103 USA
MANAGER	CHRISTINE MARAZZO	33 WEST 3RD STREET, SUITE 201 WILLIAMSPORT, PA 17701 USA

8. RESIDENT AGENT IN RHODE ISLAND - DO NOT ALTER
Changes Require Filing of Form 642 - R.I.G.L. 7-16-11

CT CORPORATION SYSTEM 10 WEYBOSSET STREET PROVIDENCE , RI 02903

9. This report must be executed by an authorized person pursuant to R.I.G.L. 7-16-66 (b).

Signed this 30 Day of August, 2011 at 3:55:24 PM by the authorized person. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the company, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-16.*

By JEROME F LOMBARD, JR
Signature of Authorized Person

Form No. 632
Revised 09/07