

Filing Fee: \$150.00

ID Number: _____



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Office of the Secretary of State
Corporations Division
148 W. River Street
Providence, Rhode Island 02904-2615

LIMITED LIABILITY COMPANY

APPLICATION FOR REGISTRATION

RECEIVED
SECRETARY OF STATE
CORPORATIONS DIV
2011 OCT - 7 PM 12:02

Pursuant to the provisions of Section 7-16-49 of the General Laws of Rhode Island, 1956, as amended, the undersigned foreign limited liability company hereby applies for a Certificate of Registration to transact business in the state of Rhode Island, and for that purpose submits the following statement:

1. The name of the limited liability company is:

EARLY WARNING SERVICES, LLC

2. The name, if different, under which it proposes to register and transact business in Rhode Island is:

N/A

3. The limited liability company is organized under the laws of **DELAWARE**

4. The date of its organization is **June 1, 1995**

5. The period of duration of the limited liability company is (if perpetual, so state) **PERPETUAL**

6. The address of the limited liability company's resident agent in Rhode Island is:

222 Jefferson Blvd, Suite 200

Warwick

, RI **02888**

(Street Address, not P.O. Box)

(City/Town)

(Zip Code)

and the name of the resident agent at such address is **Corporation Service Company**

(Name of Agent)

7. The secretary of state is appointed the agent of the foreign limited liability company for service of process if at any time there is no resident agent or if the resident agent cannot be found or served following the exercise of reasonable diligence.

8. The address of any office required to be maintained in the state or other jurisdiction under the laws of which the limited liability company is organized is:

NOT REQUIRED BY DOMICILE STATE OF DELAWARE

9. The mailing address for the limited liability company is:

16552 N. 90TH STREET, SUITE 100, SCOTTSDALE, AZ 85260

FILED

OCT 07 2011

By

153844
DS 12:02

10. Management of the Limited Liability Company:

A. The limited liability company is to be managed ☐ by its members. *(If you have checked this box, go to item no. 11.)*

or

B. The limited liability company is to be managed ☒ by one (1) or more managers. *(If the limited liability company has managers at the time of the filing of these Articles of Organization, state the name and address of each manager.)*

<u>Manager</u>	<u>Address</u>
SEE ATTACHED.	

11. This application is accompanied by a certificate of good standing duly authenticated by the secretary of state or other authorized officer of the jurisdiction under which the foreign limited liability company was organized.

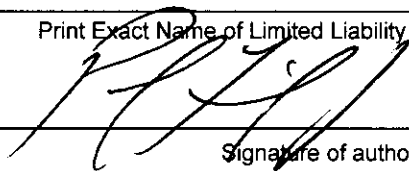
Under penalty of perjury, I declare and affirm that I have examined this Application for Registration, including any accompanying attachments, and that all statements contained herein are true and correct.

Date: 10/3/2011

EARLY WARNING SERVICES, LLC

Print Exact Name of Limited Liability Company Making Application

By



Signature of authorized person

EARLY WARNING SERVICES, LLC

MANAGERS

MAY 2011

Paul Finch
Chief Executive Officer
Early Warning Services, LLC
16552 N. 90th Street, Suite 100
Scottsdale, AZ 85260

Joseph E. Brannan
Executive Vice President
Branch Banking & Trust Company
2501 Wooten Blvd.
Wilson, NC 27893

Vincent R. D'Agostino
Sr. Vice President
JPMorgan Chase Bank, N.A.
270 Park Avenue, 37th Floor
Mail Code: NY1-K410
New York, NY 10017-2014

Mary P. Harman
Managing Director
Bank of America, N.A.
One Bryant Park
17th Floor - NY1-100-17-07
New York, NY 10036

Kenneth Zimmerman
Executive Vice President
Wells Fargo Bank, N.A.
525 Market Street, 6th Floor
San Francisco, CA 94105

Murray P. Abrams
Risk Executive
Capital One, N.A.
1680 Capital One Drive
McLean, VA 22102

Delaware

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The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "EARLY WARNING SERVICES, LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE SIXTH DAY OF OCTOBER, A.D. 2011.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "EARLY WARNING SERVICES, LLC" WAS FORMED ON THE FIRST DAY OF JUNE, A.D. 1995.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL TAXES HAVE BEEN PAID TO DATE.

2512311 8300

111075989

You may verify this certificate online
at corp.delaware.gov/authver.shtml




Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 9076166

DATE: 10-06-11



State of Rhode Island and Providence Plantations

A. Ralph Mollis

Secretary of State

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

I, A. RALPH MOLLIS, Secretary of State of the State of Rhode Island
and Providence Plantations, hereby certify that this document, duly
executed in accordance with the provisions of Title 7 of the General Laws
of Rhode Island, as amended, has been filed in this office on this day:

A. RALPH MOLLIS

Secretary of State

