



State of Rhode Island
and Providence Plantations
Office of the Secretary of State

A. Ralph Mollis, Secretary of State
Corporations Division
148 W. River Street
Providence, RI 02904-2615
401.222.3040

PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2011

Filing Period: January 1 - March 1 • **Filing Fee:** \$50.00* • **THIS REPORT MUST BE TYPED OR PRINTED LEGIBLY IN BLACK INK.**

* In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

1. Corporate ID No. 000508356		2. Name of Corporation UNICA CORPORATION			
3. Street Address Principal Business Office 170 TRACER LANE			City WALTHAM	State MA	Zip 02451
4. Business Phone No. 781-487-8600		5. State of Incorporation DELAWARE			
6. Brief Description of the Character of Business Conducted in Rhode Island ENTERPRISE MARKETING MANAGEMENT SOFTWARE					
7. NAMES AND ADDRESSES OF THE OFFICERS: ("X" BOX FOR ATTACHMENT) ☒ FILL IN SPACES BEFORE USING ATTACHMENTS					
President Name STEPHEN MELLO			Vice President Name MARK GOLDSTEIN		
Street Address 294 ROUTE 100			Street Address 1 NEW ORCHARD ROAD		
City SOMERS	State NY	Zip 10589	City ARMONK	State NY	Zip 10504
Secretary Name CHRISTOPHER O'NEILL			Treasurer Name MARTIN SCHROETER		
Street Address 294 ROUTE 100			Street Address 1 NEW ORCHARD ROAD		
City SOMERS	State NY	Zip 10589	City ARMONK	State NY	Zip 10504
8. NAMES AND ADDRESSES OF THE DIRECTORS: ("X" BOX FOR ATTACHMENT) ☐ FILL IN SPACES BEFORE USING ATTACHMENTS					
Director Name MARIAN J. DILLON			Director Name JEFFREY J. DOYLE		
Street Address 1 NEW ORCHARD ROAD			Street Address 1 NEW ORCHARD ROAD		
City ARMONK	State NY	Zip 10504	City ARMONK	State NY	Zip 10504
Director Name MARK GOLDSTEIN			Director Name		
Street Address 1 NEW ORCHARD ROAD			Street Address		
City ARMONK	State NY	Zip 10504	City	State	Zip
9. SHARES AUTHORIZED			10. SHARES ISSUED ("X" BOX FOR ATTACHMENT) ☐		
This information is currently of record in the Office of the Secretary of State. Changes require an additional filing. See Section 9 of instruction sheet.			ISSUED SHARES — THIS SECTION <u>MUST</u> BE COMPLETED		
			Number of Shares 1,000	Class/Series COMMON	Par Value 0.01

This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

FILED
OCT 11 2011
By *[Signature]* 154058
10:21 AM 11 OCT 11

File Date _____
Check No. _____
By: _____
FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

[Signature] 4/26/11
Signature _____ Date _____
PAMELA BESHORY
Print or Type Name
ASSISTANT TREASURER
Title

ATTACHMENT TO
PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2011
UNICA CORPORATION
000508356

ITEM NO. 7 -- ADDITIONAL OFFICERS

ASSISTANT TREASURER:

PAMELA BESHOOORY
1 NEW ORCHARD ROAD
ARMONK, NY 10504

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SECRETARY OF STATE
CORPORATIONS DIV



International Business Machines Corporation
294, Route 100
Somers, New York 10589
914-766-1694

April 25, 2011

State of Rhode Island and Providence Plantations
Office of the Secretary of State
Division of Business Services
148 West River Street
Providence, RI 02904

Re: UNICA CORPORATION
FILE NO. 000508356

To Whom It May Concern:

International Business Machines Corporation ("IBM") acquired all of the issued and outstanding shares of capital stock of Unica Corporation, a Delaware corporation (the "Company"), and as a result, the Company became a wholly-owned subsidiary of IBM on October 5, 2010. As of that date, the Company's total number of issued and outstanding shares along with the par value of each class of stock is as follows:

<u>Number of Shares</u>	<u>Class/Series</u>	<u>Par Value</u>
1,000	COMMON	\$0.01

Sincerely,

Pamela Beshoory
Assistant Treasurer

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