



State of Rhode Island and Providence Plantations  
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Limited Liability Company  
Annual Report**

Filing Period: September 1 - November 1

*In accordance with R.I.G.L. 7-16-66(d), each limited liability company failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-16-66(b&c)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2011

**1. ID No.** 000484984

**2. Exact Name of the Limited Liability Company** Capital One Agency LLC

**3. State of Formation**

State: DE

**4. Brief Description of the Character of the Business Which is Actually Conducted in Rhode Island**

Delaware State-licensed insurance agent that primarily sells fixed annuities, variable annuities and simple term life insurance. Agency is registered to do business in most states. Capital One Agency, LLC, Capital One Financial Advisors, LLC and Capital One Investment Services, LLC employ approximately 300 individuals; employees are triual-employed.

**5. Principal Office Address**

No. and Street: 275 BROADHOLLOW ROAD

City or Town: MELVILLE

State: NY

Zip: 11747

Country: USA

**6. Mailing Address of Limited Liability Company and Name or Title of Contact Person:**

Contact Name: Contact Title:

No. and Street: 275 BROADHOLLOW ROAD

City or Town: MELVILLE

State: NY

Zip: 11747

Country: USA

**7. Name and Address of Each Manager of the Limited Liability Company, if Applicable.  
DO NOT LIST MEMBERS**

<b>Title</b>	<b>Individual Name</b> First, Middle, Last, Suffix	<b>Address</b> Address, City or Town, State, Zip Code, Country
MANAGER	THOMAS J MUDLAFF	275 BROADHOLLOW ROAD MELVILLE, NY 11747 USA
MANAGER	KATHY M JORDAN	275 BROADHOLLOW ROAD MELVILLE, NY 11747 USA
MANAGER	PETER A APPELLO	275 BROADHOLLOW ROAD MELVILLE, NY 11747 USA

**8. RESIDENT AGENT IN RHODE ISLAND - DO NOT ALTER  
Changes Require Filing of Form 642 - R.I.G.L. 7-16-11**

CORPORATION SERVICE COMPANY 222 JEFFERSON BOULEVARD, SUITE 200 WARWICK , RI 02888

**9. This report must be executed by an authorized person pursuant to R.I.G.L. 7-16-66 (b).**

**Signed this 19 Day of October, 2011 at 4:11:52 PM by the authorized person.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the company, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-16.*

By AMY D. COOK  
Signature of Authorized Person

Form No. 632  
Revised 09/07