



**State of Rhode Island and Providence Plantations
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2012

1. Corporate ID No. 000081737

2. Name of Corporation LEGEND EQUITIES CORPORATION

3. Street Address Principal Business Office:

No. and Street: 4600 EAST PARK DRIVE, SUITE 300

City or Town: PALM BEACH GARDENS

State: FL Zip: 33410 Country: USA

4. Business Phone No.

561-694-0110

5. State of Incorporation

State: DE

6. Brief Description of the Character of Business Conducted in Rhode Island

Securities broker/dealer and insurance agency.

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
TREASURER	GLENN T. FERRIS	4600 EAST PARK DRIVE, STE 300 PALM BEACH GARDENS, FL 33410 USA
SECRETARY	DANIEL W. CARLSON	4600 EAST PARK DRIVE, SUITE 300 PALM BEACH GARDENS, FL 33410 USA
PRESIDENT	GLENN T FERRIS	4600 EAST PARK DRIVE, SUITE 300 PALM BEACH GARDENS, FL 33410 USA
VICE PRESIDENT	SAMUEL A. KUEHN	4600 EAST PARK DRIVE, STE 300 PALM BEACH GARDENS, FL 33410 USA
VICE PRESIDENT	MARK J. SPINELLO	4600 EAST PARK DRIVE, SUITE 300 PALM BEACH GARDENS, FL 33410 USA
VICE PRESIDENT	DANIEL W. CARLSON	4600 EAST PARK DRIVE, SUITE 300 PALM BEACH GARDENS, FL 33410 USA
VICE PRESIDENT	REGINA M. RUDNICK	4600 EAST PARK DRIVE, SUITE 300 PALM BEACH GARDENS, FL 33410 USA
AVP	BRIAN D. GORDON	640 JOHNSON AVE, STE 204 BOHEMIA, NY 11716 USA
DIRECTOR	MARK J. SPINELLO	4600 EAST PARK DRIVE, SUITE 300 PALM BEACH GARDENS, FL 33410 USA
DIRECTOR	GLENN T. FERRIS	4600 EAST PARK DRIVE, SUITE 300 PALM BEACH GARDENS, FL 33410 USA
DIRECTOR	MICHAEL D. STROHM	6300 LAMAR AVE. SHAWNEE MISSION, KS 66202 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CNP		\$0.00	1,500.00	100

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 5 Day of January, 2012 at 10:13:50 AM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By DANIEL W. CARLSON
Signature of Authorized Representative of the Corporation

VICE PRESIDENT/SECRETARY
Title

This report cannot be accepted for filing if an officer has executed the form and he/she is not

listed in section 7.

Form No. 630
Revised 09/07

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