



State of Rhode Island and Providence Plantations
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2012

1. Corporate ID No. 000112040

2. Name of Corporation Genworth Financial Securities Corporation

3. Street Address Principal Business Office:

No. and Street: 200 NORTH MARTINGALE ROAD

City or Town: SCHAUMBURG

State: IL Zip: 60173 Country: USA

4. Business Phone No.

804.281.6000

5. State of Incorporation

State: IL

6. Brief Description of the Character of Business Conducted in Rhode Island

SECURITIES BROKER/DEALER AND INSURANCE AGENCY

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
TREASURER	GARY T PRIZZIA	6620 WEST BROAD STREET, BUILDING 1 RICHMOND, VA 23230 USA
SECRETARY	VIDAL J. TORRES JR.	6620 W BROAD ST RICHMOND, VA 23230 USA
PRESIDENT	ENRIQUE M VASQUEZ	200 NORTH MARTINGALE ROAD, 7TH FLOOR SCHAUMBERG, IL 60173- USA
ASSISTANT SECRETARY	DONNA L. STRAUSS	6620 W BROAD ST RICHMOND, VA 23230 USA

VICE PRESIDENT	EDWARD KERN	200 N. MARTINGALE SCHAUMBURG, VA 23230 USA
VICE PRESIDENT	BRIAN FERRAR	6620 WEST BROAD ST RICHMOND, VA 23230 USA
VICE PRESIDENT & SECRETARY	VIDAL J. TORRES JR	6620 WEST BROAD ST RICHMOND, VA 23230 USA
ASSISTANT SECRETARY	THERESA A. MYERS	6620 WEST BROAD ST. RICHMOND, VA 23230 USA
ASSISTANT TREASURER	ARNOLD J. COTTRELL	6620 WEST BROAD ST RICHMOND, VA 23230 USA
ASSISTANT TREASURER	JOSEPH C. EARLEY	6620 WEST BROAD ST RICHMOND, VA 23230 USA
ASSISTANT TREASURER	RICHARD K. TANGARD	6620 WEST BROAD ST RICHMOND, VA 23230 USA
ASSISTANT TREASURER	EDWARD A. TEPPER	6620 WEST BROAD ST RICHMOND, VA 23230 USA
SENIOR VICE PRESIDENT	MARTIN P. KLEIN	6620 WEST BROAD ST RICHMOND, VA 23230 USA
SENIOR VICE PRESIDENT	JEFFREY MCKAY	200 N. MARTINGALE RD SCHAUMBURG, IL 60173 USA
SENIOR VICE PRESIDENT	DARRYL RONCONI	200 N. MARTINGALE RD SHAUMBURG, IL 60173 USA
SENIOR VICE PRESIDENT	GREGG A. RUVOLI	200 N. MARTINGALE RD SCHAUMBURG, IL 60173 USA
SR. VICE PRESIDENT & CHIEF COMPLIANCE OFFICER	JAMES W. SHAY	200 N. MARTINGALE RD SCHAUMBURG, IL 60173 USA
VICE PRESIDENT	MICHAEL A. ARAMOWICZ	200 N. MARTINGALE RC SCHAUMBURG, IL 60173 USA
VICE PRESIDENT & CONTROLLER	JAC J. AMERELL	6620 WEST BROAD ST RICHMOND, VA 23230 USA
VICE PRESIDENT	CONNIE C. CEBULA	200 N. MARTINGALE RD. SCHAUMBURG, IL 60173 USA
FINANCIAL & OPERATIONS PRINCIPAL	BONNIE C. TURNER	6620 WEST BROAD ST RICHMOND, VA 23230 USA
DIRECTOR	ENRIQUE M VASQUEZ	200 NORTH MARTINGALE RD. 7TH FL SCHAUMBURG, IL 60173 USA
DIRECTOR	GURINDER S. AHLUWALIA	2300 CONTRA COSTA BLVD #425 PLEASANT HILL, CA 94523 USA
DIRECTOR	JOHN G. APOSTLE II	6620 W BROAD ST RICHMOND, VA 23230 USA
DIRECTOR	DARRYL D. RONCONI	200 NORTH MARTINGALE ROAD, 7TH FLOOR SCHAUMBURG, IL 60173 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CNP		\$0.00	1,000.00	1000

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 6 Day of January, 2012 at 3:54:30 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By VIDAL J. TORRES
Signature of Authorized Representative of the Corporation

VICE PRESIDENT AND SECRETARY
Title

This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.

Form No. 630
Revised 09/07

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