



State of Rhode Island
and Providence Plantations
Office of the Secretary of State

A. Ralph Mollis, Secretary of State
Corporations Division
145 W. River Street
Providence, RI 02904-2615
001-222-3000

PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2012

Filing Period: January 1 - March 1 • **Filing Fee:** \$50.00* • **THIS REPORT MUST BE TYPED OR PRINTED LEGIBLY IN BLACK INK.**

* In accordance with R.I.G.L. § 1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. § 1.2-1501(c)(1)) is subject to a penalty fee of \$25.00.

1. Corporate ID No. 3658		2. Name of Corporation Carolan & Co., Inc.			
3. Street Address - Principal Business Office 10 Weybosset Street			City Providence	State RI	Zip 02903
4. Business Phone No. 401-331-1932		5. State of Incorporation RI			
6. Brief Description of the Character of Business Conducted in Rhode Island General brokerage and investment business					
7. NAMES AND ADDRESSES OF THE OFFICERS: ("X" BOX FOR ATTACHMENT) <input checked="" type="checkbox"/> FILL IN SPACES BEFORE USING ATTACHMENTS					
President Name Richard F. Carolan			Vice President Name Francis P. Carolan		
Street Address 250 Ocean Road			Street Address 44 North Court Street		
City Narragansett	State RI	Zip 02882	City Providence	State RI	Zip 02903
Secretary Name Katherine G. Norris			Treasurer Name Richard F. Carolan		
Street Address 56 Hillcrest Road			Street Address 250 Ocean Road		
City Wakefield	State RI	Zip 02879	City Narragansett	State RI	Zip 02882
8. NAMES AND ADDRESSES OF THE DIRECTORS: ("X" BOX FOR ATTACHMENT) <input type="checkbox"/> FILL IN SPACES BEFORE USING ATTACHMENTS					
Director Name Richard F. Carolan			Director Name Francis P. Carolan		
Street Address 250 Ocean Road			Street Address 44 North Court Street		
City Narragansett	State RI	Zip 02882	City Providence	State RI	Zip 02903
Director Name Katherine G. Norris			Director Name		
Street Address 56 Hillcrest Road			Street Address		
City Wakefield	State RI	Zip 02879	City	State	Zip
9. SHARES AUTHORIZED			10. SHARES ISSUED ("X" BOX FOR ATTACHMENT) <input checked="" type="checkbox"/>		
This information is currently of record in the Office of the Secretary of State. Changes require an additional filing. See Section 9 of instruction sheet.			ISSUED SHARES - THIS SECTION MUST BE COMPLETED		
			Number of Shares 4705	Class Series Common	Par Value 1.00

This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

FILED

File Date JAN 13 2012

Check No. 160576

By: [Signature]

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Katherine G. Norris 1/11/12
Signature Date
Katherine G. Norris
Print or Type Name
Secretary
Title

Attachment to Annual Report
Carolan & Co., Inc.
Corp. ID No. 3658

Additional Vice Presidents:

Richard F. Carolan, Jr.
35 Rumstick Road
Barrington, RI 02806

Catherine C. Daniel
5 Bowdoin Road
Wellesley, MA 02481-2508