



**State of Rhode Island and Providence Plantations
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2012

1. Corporate ID No. 000021626

2. Name of Corporation CNA INVESTOR SERVICES, INC.

3. Street Address Principal Business Office:

No. and Street: 333 S. WABASH AVE.
43RD FLOOR

City or Town: CHICAGO State: IL Zip: 60604 Country: USA

4. Business Phone No.

312-822-5000

5. State of Incorporation

State: IL

6. Brief Description of the Character of Business Conducted in Rhode Island

INTRODUCTORY BROKER/DEALER DEALING SOLELY WITH INVESTMENT COMPANY
AND VARIABLE PRODUCTS.

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
TREASURER	STEPHANIE RISHEL	333 S. WABASH AVE. CHICAGO, IL 60604 USA
SECRETARY	MARY A. RIBIKAWSKIS	333 S. WABASH AVE. CHICAGO, IL 60604 USA
PRESIDENT	CAROL A KUNTZ	333 S. WABASHY AVE. CHICAGO, IL 60604- USA
ASSISTANT VICE PRESIDENT	ROBERT J. GROB	333 S. WABASH AVE. CHICAGO, IL 60604 USA
DIRECTOR	ERIC B. NOYES	333 S. WABASH AVE. CHICAGO, IL 60604 USA
ASSISTANT SECRETARY	DAVID LEHMAN	333 S. WABASH CHICAGO, IL 60604 USA
ASSISTANT TREASURER	ALBERT J MIRALLES JR.	333 S. WABASH AVE. CHICAGO, IL 60604 USA
DIRECTOR	CAROL A. KUNTZ	333 S. WABASH AVE. CHICAGO, IL 60604 USA
DIRECTOR	STEPHANIE RISHEL	333 S. WABASH AVE. CHICAGO, IL 60604 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CNP		\$0.00	100.00	100

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 18 Day of January, 2012 at 5:18:52 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By MARK A. RIBIKAWSKIS

Signature of Authorized Representative of the Corporation

SECRETARY

Title

This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.

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