



State of Rhode Island and Providence Plantations
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2012

1. Corporate ID No. 000021746

2. Name of Corporation CAPTIAL ANALYSTS INCORPORATED

3. Street Address Principal Business Office:

No. and Street: 303 BROADWAY

City or Town: CINCINNATI

State: OH

Zip: 45202

Country: USA

4. Business Phone No.

513-629-2121

5. State of Incorporation

State: DE

6. Brief Description of the Character of Business Conducted in Rhode Island

SECURITY BROKER/DEALER

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	MATTHEW E LYNCH	303 BROADWAY CINCINNATI, OH 45202 USA
TREASURER	JAMES J VANCE	400 BROADWAY CINCINNATI, OH 45202 USA
SECRETARY	MARK J MAHONEY	400 BROADWAY CINCINNATI, OH 45202 USA
CHAIRMAN OF THE BOARD	MARIBETH S RAHE	303 BROADWAY CINCINNATI, OH 45202 USA
VICE CHAIRMAN	ROBERT S COGAN	303 BROADWAY CINCINNATI, OH 45202 USA
ASST VICE PRESIDENT	CHRISTOPHER SURRICHIO	303 BROADWAY CINCINNATI, OH 45202 USA
2ND VICE PRESIDENT	WENDY S PANNIER	303 BROADWAY CINCINNATI, OH 45205 USA
VICE PRESIDENT	STEPHEN T MAYHEW	303 BROADWAY CINCINNATI, OH 45202 USA
VICE PRESIDENT	JOHN C PARSONS	303 BROADWAY CINCINNATI, OH 45202 USA
CHIEF COMPLIANCE OFFICER	KENNETH A MADSEN	303 BROADWAY CINCINNATI, OH 45202 USA
ASST TREASURER	TIMOTHY D SPEED	420 E 4TH STREET CINCINNATI, OH 45202 USA
VICE PRESIDENT	RICHARD K TAULBEE	400 BROADWAY CINCINNATI, OH 45202 USA
VICE PRESIDENT	CLINT D GIBLER	400 BROADWAY CINCINNATI, OH 45202 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$50.00	1,000.00	1000

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 20 Day of January, 2012 at 10:53:35 AM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By RICHARD K. TAULBEE
Signature of Authorized Representative of the Corporation

VICE PRESIDENT

Title

This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.

Form No. 630
Revised 09/07

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