



State of Rhode Island and Providence Plantations  
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

**ANNUAL REPORT YEAR:** 2012

**1. Corporate ID No.** 000093033

**2. Name of Corporation** Ameritas Investment Corp.

**3. Street Address Principal Business Office:**

No. and Street: 5900 O STREET

City or Town: LINCOLN

State: NE

Zip: 68510-2234

Country: USA

**4. Business Phone No.**

402-467-6938

**5. State of Incorporation**

State: NE

**6. Brief Description of the Character of Business Conducted in Rhode Island**

AIC is a full service Broker/Dealer who sells stocks, bonds, mutual funds and annuities. AIC also acts as underwriter for variable products and operates investment banking business for public finance in the State of Nebraska.

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
VICE PRESIDENT	VERNA M CURRY	5900 O STREET LINCOLN, NE 68510 USA
VICE PRESIDENT	ROBERT W MORROW	5900 O STREET LINCOLN, NE 68510 USA
VICE PRESIDENT, PUBLIC FINANCE	MARC MUNFORD	5900 O STREET LINCOLN, NE 68510 USA

ASST. VICE PRESIDENT, CONTROLLER	STEVEN M OSS	5900 O STREET LINCOLN, NE 68510 USA
VICE PRESIDENT, PUBLIC FINANCE	WAYNE A RASMUS	440 REGENCY PKWY DR., STE. #222 OMAHA, NE 68114 USA
VICE PRESIDENT	OSCAR A SANDBERG	5900 O STREET LINCOLN, NE 68510 USA
CORPORATE SECRETARY	ROBERT-JOHN H SANDS	7315 WISCONSIN AVENUE BETHESDA, MD 20814 USA
VICE PRESIDENT, BROKER DEALER COMPLIANCE	MARIA E SHERFFIUS	5900 O STREET LINCOLN, NE 68510 USA
VICE PRESIDENT, FIXED INCOME TRADING & UNDERWRITING	MICHAEL E SHOEMAKER	5900 O STREET LINCOLN, NE 68510 USA
VICE PRESIDENT, SUITABILITY & DUE DILIGENCE	REX B SNYDER	7315 WISCONSIN AVENUE BETHESDA, MD 20814 USA
VICE PRESIDENT, PUBLIC FINANCE	JAY A SPEARMAN	5900 O STREET LINCOLN, NE 68510 USA
ASST. VICE PRESIDENT, INVESTMENT ADVISORY SERVICES	CHARLES J THOMAS	7315 WISCONSIN AVENUE BETHESDA, MD 20814 USA
VICE PRESIDENT, PUBLIC FINANCE	ARTHUR W ABTS JR.	440 REGENCY PKWY DR., STE. #222 OMAHA, NE 68114 USA
VICE PRESIDENT, OPERATIONS	DEIDRE D CADWELL	5900 O STREET LINCOLN, NE 68510 USA
ASST. VICE PRESIDENT, SHARED SERVICES	CRYSTAL DEMOSS	5900 O STREET LINCOLN, NE 68510 USA
VICE PRESIDENT, FIXED INCOME TRADING	DONITA G ERICKSON	5900 O STREET LINCOLN, NE 68510 USA
DIRECTOR	TIMMY L STONEHOCKER	5900 O STREET LINCOLN, NE 68510 USA
SENIOR VICE PRESIDENT	BILLIE B BEAVERS	440 REGENCY PKWY DR., STE. #222 OMAHA, NE 68114 USA
SENIOR VICE PRESIDENT, PUBLIC FINANCE	BRUCE D LEFLER	440 REGENCY PKWY DR., STE. #222 OMAHA, NE 68114 USA
VICE PRESIDENT, PUBLIC FINANCE	RICHARD S HARMAN	440 REGENCY PKWY DR., STE. #222 OMAHA, NE 68114 USA
VICE PRESIDENT, SALES & DISTRIBUTION	WENDELL G HUTSELL	5900 O STREET LINCOLN, NE 68510 USA
VICE PRESIDENT, RETAIL SALES MANAGER	WILLIAM J JANSSEN	5900 O STREET LINCOLN, NE 68510 USA
VICE PRESIDENT & MANAGING DIRECTOR, PUBLIC FINANCE	SCOTT D KEENE	5900 O STREET LINCOLN, NE 68510 USA
ASST. VICE PRESIDENT, SALES DIRECTOR	JOHN FELDMAN	1876 WAYCROSS RD. CINCINNATI, OH 45240 USA
VICE PRESIDENT, CORPORATE COMPLIANCE	RAYMOND M GILBERTSON	5900 O STREET LINCOLN, NE 68510 USA
VICE PRESIDENT, BEST PRACTICES	CONNIE S GROSSER	1876 WAYCROSS RD. CINCINNATI, OH 45240 USA
VICE PRESIDENT, PUBLIC FINANCE	JOHN E TRECEK	440 REGENCY PKWY DR., STE. #222 OMAHA, NE 68114 USA
VICE PRESIDENT, BUSINESS DEVELOPMENT OFFICER	ANDREW J VAN ERP	1876 WAYCROSS RD. CINCINNATI, OH 45240 USA
ASSISTANT SECRETARY	DAVID M WILLIAMS	5900 O STREET LINCOLN, NE 68510 USA

PRESIDENT	SALENE HITCHCOCK-GEAR	7315 WISCONSIN AVENUE BETHESDA, MD 20814- USA
DIRECTOR	ROBERT C BARTH	5900 O STREET LINCOLN, NE 68510 USA
DIRECTOR	WILLIAM W LESTER	5900 O STREET LINCOLN, NE 68510 USA
DIRECTOR	KENT M CAMPBELL	611 5TH AVE DES MOINES, IA 50309 USA

#### 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
STK		\$0.10	500,000.00	225117

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 31 Day of January, 2012 at 5:48:10 PM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By STEVEN M. OSS  
Signature of Authorized Representative of the Corporation  
  
ASSISTANT VICE PRESIDENT, CONTROLLER  
Title

**This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.**

Form No. 630  
Revised 09/07

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