



**State of Rhode Island and Providence Plantations
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2012

1. Corporate ID No. 000150398

2. Name of Corporation Ridge Clearing & Outsourcing Solutions, Inc.

3. Street Address Principal Business Office:

No. and Street: 2 JOURNAL SQUARE
ATT: TAX DEPT

City or Town: JERSEY CITY State: NJ Zip: 07306 Country: USA

4. Business Phone No.

201-714-3648

5. State of Incorporation

State: NY

6. Brief Description of the Character of Business Conducted in Rhode Island

BROKER DEALER SECURITIES

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	JOSEPH BARRA	2 JOURNAL SQUARE ATT: TAX DEPT JERSEY CITY, NJ 07306 USA
SECRETARY	ADAM BEHAR	2 JOURNAL SQUARE ATT: TAX DEPT JERSEY CITY, NJ 07306 USA
CEO	JOHN HOGAN	2 JOURNAL SQUARE ATT: TAX DEPT JERSEY CITY, NJ 07306 USA
HEAD OF OPERATIONS	MIKE ALEXANDER	2 JOURNAL SQUARE ATT: TAX DEPT JERSEY CITY, NJ 07306 USA
ASSISTANT SECRETARY	DAVID LISA	2 JOURNAL SQUARE ATT: TAX DEPT JERSEY CITY, USA
ASSISTANT SECRETARY	SHALINI SHARMA	2 JOURNAL SQUARE ATT: TAX DEPT JERSEY CITY, NJ 07306 USA
ASSISTANT SECRETARY	ANDREW SPATHAKIS	2 JOURNAL SQUARE ATT: TAX DEPT JERSEY CITY, NJ 07306 USA
ASSISTANT SECRETARY	IRWIN PRONIN	2 JOURNAL SQUARE, ATT: TAX DEPT JERSEY CITY, NJ 07306 USA
DIRECTOR	JOSEPH BARRA	2 JOURNAL SQUARE ATT: TAX DEPT JERSEY CITY, NJ 07306 USA
DIRECTOR	JOHN HOGAN	2 JOURNAL SQUARE ATT: TAX DEPT JERSEY CITY, NJ 07306 USA
DIRECTOR	ADAM AMSTERDAM	2 JOURNAL SQUARE ATT: TAX DEPT JERSEY CITY, NJ 07306 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares Number of Shares	Total Issued and Outstanding Num of Shares
CWP		\$0.10	200,000.00	0

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 2 Day of February, 2012 at 1:38:00 PM. This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.

By ANDREW SPATHAKIS
Signature of Authorized Representative of the Corporation

ASST. SECRETARY
Title

This report cannot be accepted for filing if an officer has executed the form and he/she is not

listed in section 7.

Form No. 630
Revised 09/07

© 2007 - 2012 State of Rhode Island and Providence Plantations
All Rights Reserved