



**State of Rhode Island and Providence Plantations  
Office of the Secretary of State**

**Fee: \$50.00**

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

*Filing Period: January 1 - March 1*

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2012

**1. Corporate ID No.** 000115311

**2. Name of Corporation** H.D. Vest Mortgage Services, Inc.

**3. Street Address Principal Business Office:**

No. and Street: 6333 NORTH STATE HIGHWAY 161, SUITE  
400

City or Town: IRVING

State: TX Zip: 75038 Country: USA

**4. Business Phone No.**

**5. State of Incorporation**

State: TX

**6. Brief Description of the Character of Business Conducted in Rhode Island**

TO ENGAGE IN THE RESIDENTIAL MORTGAGE LOAN BUSINESS

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

| Title               | Individual Name<br>First, Middle, Last, Suffix | Address<br>Address, City or Town, State, Zip Code, Country       |
|---------------------|------------------------------------------------|------------------------------------------------------------------|
| TREASURER           | JOEL BENNETT                                   | 6333 N STATE HWY 161, SUITE 400<br>IRVING, TX 75038 USA          |
| SECRETARY           | DAVID R ONEILL                                 | 6333 N STATE HWY 161, SUITE 400<br>IRVING, TX 75038 USA          |
| VICE PRESIDENT      | JAMES A HORTON                                 | WELLS FARGO & CO 90 SOUTH 7TH ST<br>MINNEAPOLIS, MN 55402 USA    |
| ASSISTANT SECRETARY | NEAL HEIFETZ                                   | 6333 N. STATE HWY 161, SUITE 400<br>IRVING, TX 75038 USA         |
| PRESIDENT           | ROGER C OCHS                                   | 6333 NORTH STATE HIGHWAY 161, SUITE 400<br>IRVING, TX 75038- USA |
| ASSISTANT SECRETARY | JEFF KLEIN                                     | 6333 N STATE HWY 161 SUITE 400<br>IRVING, TX 75038 USA           |
| VICE PRESIDENT      | JEFFREY O FINK                                 | WELLS FARGO & CO 90 SOUTH 7TH ST<br>MINNEAPOLIS, MN 55402 USA    |

#### 8. Shares Authorized and Issued

| Class of Stock | Series of Stock | Par Value Per Share | Total Authorized Shares<br><i>Number of Shares</i> | Total Issued and Outstanding<br><i>Num of Shares</i> |
|----------------|-----------------|---------------------|----------------------------------------------------|------------------------------------------------------|
| CWP            |                 | \$1.00              | 100,000.00                                         | 1000                                                 |

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 10 Day of February, 2012 at 11:17:21 AM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By DAVID R ONEILL  
Signature of Authorized Representative of the Corporation

SECRETARY  
Title

**This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.**

Form No. 630  
Revised 09/07

