



State of Rhode Island and Providence Plantations
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2012

1. Corporate ID No. 000100937

2. Name of Corporation NATIONAL PLANNING CORPORATION

3. Street Address Principal Business Office:

No. and Street: 401 WILSHIRE BOULEVARD
SUITE 1100

City or Town: SANTA MONICA State: CA Zip: 90401 Country: USA

4. Business Phone No.

517-381-5500

5. State of Incorporation

State: DE

6. Brief Description of the Character of Business Conducted in Rhode Island

SECURITIES BROKER-DEALER

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	LYNN NIEDERMEIER	401 WILSHIRE BLVD, STE 1100 SANTA MONICA, CA 90401 USA
SECRETARY	THOMAS J MEYER	1 CORPORATE WAY LANSING, MI 48951 USA
ASSISTANT SECRETARY	JULIA GOATLEY	1 CORPORATE WAY LANSING, MI 48951 USA
1ST VP	SEAN HALEY	401 WILSHIRE BLVD, STE 1100

		SANTA MONICA, CA 90401 USA
1ST VP	KIRSTEN BOSCH	401 WILSHIRE BLVD, STE 1100 SANTA MONICA, CA 90401 USA
VICE PRESIDENT	MARK PAGE	401 WILSHIRE BLD, STE 1100 SANTA MONICA, CA 90401 USA
CFO & SENIOR VP	MAURA COLLINS	401 WILSHIRE BLVD, STE 1100 SANTA MONICA, CA 90401 USA
ASSIST VP	MICHAEL SCHAB	401 WILSHIRE BLVD, STE 1100 SANTA MONICA, CA 90401 USA
VICE PRESIDENT	WENDY MARTINEZ	401 WILSHIRE BLVD, STE 1100 SANTA MONICA, CA 90401 USA
CONTROLLER & VP	VANESSA RODRIGUEZ	401 WILSHIRE BLVD, STE 1100 SANTA MONICA, CA 90401 USA
ASSIST VP	JEFF GRIFFITH	401 WILSHIRE BLVD, STE 1100 SANTA MONICA, CA 90401 USA
VICE PRESIDENT	AUSTIN MOON	401 WILSHIRE BLVD, STE 1100 SANTA MONICA, CA 90401 USA
SENIOR VP	GERRY GUNDERSON	212 N 4TH STREET BISMARCK, ND 58501 USA
ASSIST VP	JAMES D GARRISON	1 CORPORATE WAY LANSING, MI 48951 USA
ASSIST VP	TODD MANEVAL	1 CORPORATE WAY LANSING, MI 48951 USA
VICE PRESIDENT	CRAIG LEWIS	401 WILSHIRE BLVD, STE 1100 SANTA MONICA, CA 90401 USA
ASSIST VP	ALLAN AVENA	401 WILSHIRE BLVD, STE 1100 SANTA MONICA, CA 90401 USA
ASSIST VP	PATRICIA MCCALLOP	401 WILSHIRE BLVD, STE 1100 SANTA MONICA, CA 90401 USA
VICE PRESIDENT	MARIA J LINDSLEY	401 WILSHIRE BLVD, STE 1100 SANTA MONICA, CA 90401 USA
VICE PRESIDENT	SCOTT FORBUSH	1 CORPORATE WAY LANSING, MI 48951 USA
1ST VP	JAMES KOMOSZEWSKI	401 WILSHIRE BLVD, STE 1100 SANTA MONICA, CA 90401 USA
ASSIST VP	ALFREDO GOMEZ	401 WILSHIRE BLVD, STE 1100 SANTA MONICA, CA 90401 USA
ASSIST VP	MARY K HANK	401 WILSHIRE BLVD, STE 1100 SANTA MONICA, CA 90401 USA
ASSIST VP	RUSIAN LEVIN	401 WILSHIRE BLVD, STE 1100 SANTA MONICA, CA 90401 USA
SENIOR VP	JOHN JOHNSON	401 WILSHIRE BLVD, STE 1100 SANTA MONICA, CA 90401 USA
VICE PRESIDENT	SUSAN BOGGIONI	401 WILSHIRE BLVD, STE 1100 SANTA MONICA, CA 90401 USA
VICE PRESIDENT	RANDY GAMIEL	401 WILSHIRE BLVD, STE 1100 SANTA MONICA, CA 90401 USA
VICE PRESIDENT	MELISSA LIZOTTE	401 WILSHIRE BLVD, STE 1100 SANTA MONICA, CA 90401 USA
VICE PRESIDENT	DANIEL WEISS	401 WILSHIRE BLVD, STE 1100 SANTA MONICA, CA 90401 USA
DIRECTOR	LYNN NIEDERMEIER	401 WILSHIRE BLVD, STE 1100

		SANTA MONICA, CA 90401 USA
DIRECTOR	JAMES LIVINGSTON	7601 TECHNOLOGY WAY DENVER, CO 80237 USA
DIRECTOR	THOMAS J MEYER	1 CORPORATE WAY LANSING, MI 48951 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CNP		\$0.00	10,000.00	1000

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 13 Day of February, 2012 at 11:05:54 AM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By THOMAS J. MEYER
Signature of Authorized Representative of the Corporation

SECRETARY
Title

This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.

Form No. 630
Revised 09/07

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