



State of Rhode Island and Providence Plantations
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2012

1. Corporate ID No. 000145984

2. Name of Corporation First Southwest Company

3. Street Address Principal Business Office:

No. and Street: 325 NORTH SAINT PAUL STREET, SUITE 800

City or Town: DALLAS

State: TX Zip: 75201 Country: USA

4. Business Phone No.

214-953-4000

5. State of Incorporation

State: DE

6. Brief Description of the Character of Business Conducted in Rhode Island

PROVIDE FINANCIAL ADVISORY SERVICES

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
DIRECTOR	WAYNE B PLACIDE	325 NORTH SAINT PAUL STREET, SUITE 800 DALLAS, TX 75201 USA
PRESIDENT	HILL A FEINBERG	325 NORTH SAINT PAUL STREET, SUITE 800 DALLAS, TX 75201- USA
VICE PRESIDENT	DAVID A COMMONS	325 NORTH SAINT PAUL STREET, SUITE 800 DALLAS, TX 75201 USA
TREASURER	JOSEPH L BRAWNER	325 NORTH SAINT PAUL STREET, SUITE 800 DALLAS, TX 75201 USA
DIRECTOR	HILL A FEINBERG	325 NORTH SAINT PAUL STREET, SUITE 800 DALLAS, TX 75201 USA
DIRECTOR	ANNE BURGER ENTREKIN	70 NORTHEAST LOOP 410, SUITE 710 SAN ANTONIO, TX 78216 USA
DIRECTOR	MICHAEL J MARZ	325 NORTH SAINT PAUL STREET, SUITE 800 DALLAS, TX 75201 USA
DIRECTOR	DAVID K MEDANICH	777 MAIN STREET, SUITE 1200 FORT WORTH, TX 76102 USA
DIRECTOR	MAUREEN E GURGHIGIAN	12 BREAKNECK HILL ROAD, SUITE 200 LINCOLN, RI 02865 USA
SECRETARY	BRIAN L WITTNEBEN	325 NORTH SAINT PAUL STREET, SUITE 800 DALLAS, TX 75201 USA
DIRECTOR	HENRY A SAUER JR	700 MILAM STREET, SUITE 500 HOUSTON, TX 77002 USA
DIRECTOR	MICHAEL G BARTOLOTTA	700 MILAM STREET, SUITE 500 HOUSTON, TX 77002 USA
DIRECTOR	JACK E ADDAMS	325 NORTH SAINT PAUL STREET, SUITE 800 DALLAS, TX 75201 USA
DIRECTOR	JOHN R MUSCHALEK	325 NORTH SAINT PAUL STREET, SUITE 800 DALLAS, TX 75201 USA
DIRECTOR	DREW K MASTERSON	700 MILAM STREET, SUITE 500 HOUSTON, TX 77002 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$0.01	500.00	500

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 24 Day of February, 2012 at 2:52:08 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By DAVID A COMMONS
Signature of Authorized Representative of the Corporation

VICE PRESIDENT, CFO

Title

This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.

Form No. 630
Revised 09/07

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