



**State of Rhode Island and Providence Plantations
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2012

1. Corporate ID No. 000099145

2. Name of Corporation M & T Securities, Inc.

3. Street Address Principal Business Office:

No. and Street: 285 DELAWARE AVENUE
SUITE 200

City or Town: BUFFALO

State: NY

Zip: 14202

Country: USA

4. Business Phone No.

716-651-4815

5. State of Incorporation

State: NY

6. Brief Description of the Character of Business Conducted in Rhode Island

PURCHASE AND SALE OF SECURITIES PRODUCTS AS A BROKER-DEALER.

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	KENNETH THOMPSON	100 EAST PRATT ST BALTIMORE, MD 21201 USA
TREASURER	MICHAEL KEANE	285 DELAWARE AVE BUFFALO, NY 14202 USA
SECRETARY	MARIE KING	ONE M&T PLAZA BUFFALO, NY 14203 USA
VICE PRESIDENT	CAROL A GOULDING	285 DELAWARE AVE BUFFALO, NY 14202 USA
DIRECTOR	DREW PFIRRMAN	285 DELAWARE AVENUE BUFFALO, NY 14202 USA
DIRECTOR	RICHARD SHATZKIN	25 S. CHARLES ST. BALTIMORE, MD 21201 USA
DIRECTOR	SALVATORE GUERRIERI JR.	255 EAST AVE. ROCHESTER, NY 14604 USA
DIRECTOR	MARK CZARNECKI	ONE M&T PLAZA BUFFALO, NY 14203 USA
DIRECTOR	RENE JONES	ONE M&T PLAZA BUFFALO, NY 14203 USA
DIRECTOR	CARL JORDAN	ONE M&T PLAZA BUFFALO, NY 14203 USA
DIRECTOR	TIMOTHY BRENNER	ONE M&T PLAZA BUFFALO, NY 14203 USA
DIRECTOR	ATWOOD COLLINS III	25 S. CHARLES ST. BALTIMORE, MD 21201 USA
DIRECTOR	SCOTT WARMAN	ONE M&T PLAZA BUFFALO, NY 14203 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CNP		\$0.00	200.00	30

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 29 Day of February, 2012 at 9:25:01 AM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By CAROL GOULDING
Signature of Authorized Representative of the Corporation

GROUP VICE PRESIDENT/ CCO

Title

This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.

Form No. 630
Revised 09/07

© 2007 - 2012 State of Rhode Island and Providence Plantations
All Rights Reserved