



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
Office of the Secretary of State - Division of Business Services

148 W. River Street, Providence, Rhode Island 02904-2615

Phone: (401) 222-3040 ~ Email: corporations@sos.ri.gov ~ Website: www.sos.ri.gov

PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR

2012

Filing Period: January 1 - March 1 • This report must be typed or printed legibly.

Filing Fee: \$50.00 • FAILURE TO FILE THIS REPORT BY MARCH 31 WILL RESULT IN A \$25.00 PENALTY FEE.

1. Entity ID No. 25738		2. Exact name of the Corporation Jefferies Bache Financial Services, Inc.			
3. Principal office address One New York Plaza, 13th Floor		City New York	State NY	Zip 10004	
4. Business Phone No. 800-643-2902		5. State of Incorporation Delaware			
6. Brief description of the character of business conducted in Rhode Island Over-the-counter metals transactions					
7. LIST ALL OFFICERS (NAMES AND ADDRESSES) ("X" BOX FOR ATTACHMENT) <input checked="" type="checkbox"/>					
President Name Alex Ladouceur		Vice-President Name Ryan Byrne			
Street Address same as above		Street Address same as above			
City	State	Zip	City	State	Zip
Secretary Name Donald R. Levine		Treasurer Name Ronald J. Ivans			
Street Address same as above		Street Address same as above			
City	State	Zip	City	State	Zip
8. LIST ALL DIRECTORS (NAMES AND ADDRESSES) ("X" BOX FOR ATTACHMENT) <input type="checkbox"/>					
Director Name Alex Ladouceur		Director Name N/A			
Street Address same as above		Street Address			
City	State	Zip	City	State	Zip
Director Name Marc Razzano		Director Name N/A			
Street Address same as above		Street Address			
City	State	Zip	City	State	Zip
9. SHARES AUTHORIZED		10. SHARES ISSUED ("X" BOX FOR ATTACHMENT) <input type="checkbox"/>			
This information is currently of record in the Office of the Secretary of State. Changes require an additional filing. See Section 9 of Instruction sheet.		NUMBER OF SHARES	CLASS/SERIES	PAR VALUE	
		1,000	Common	\$2.00	

This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

FILED

File Date

FEB 27 2012

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Check No

By:

By [Signature]

Signature of Authorized Representative

02/23/2012

Date

FOR SECRETARY OF STATE USE ONLY

025849

Donald R. Levine, Secretary

Print or Type Name of Authorized Representative

**Attachment to the 2012 Annual Report
for Jefferies Bache Financial Services, Inc. (ID No. 25738)**

Further Response to Section 7

<u>Officers</u>	<u>Address</u>
Ian Anderson	9 Devonshire Square London, EC2M 4HP England
Darren Beckwith	9 Devonshire Square London, EC2M 4HP England
Michael Coomber	9 Devonshire Square London, EC2M 4HP England
Domenico Demaio	9 Devonshire Square London, EC2M 4HP England
Barry Gershon	9 Devonshire Square London, EC2M 4HP England
John Martin	9 Devonshire Square London, EC2M 4HP England
Joseph De John	One New York Plaza 13 th Floor New York, NY 10004
Rita DiDomenico	One New York Plaza 13 th Floor New York, NY 10004
Edwin Farah	One New York Plaza

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FEB 27 2012

By MMC

ID # 25738

	13 th Floor New York, NY 10004
Stephen Forman	One New York Plaza 13 th Floor New York, NY 10004
John Gurba	One New York Plaza 13 th Floor New York, NY 10004
Mario Mirkovic	One New York Plaza 13 th Floor New York, NY 10004
Walter Pehowich	One New York Plaza 13 th Floor New York, NY 10004
Marc Razzano	One New York Plaza 13 th Floor New York, NY 10004
Al Scrivanich	One New York Plaza 13 th Floor New York, NY 10004
William Yip	One New York Plaza 13 th Floor New York, NY 10004
Robert Wilson	One New York Plaza 13 th Floor New York, NY 10004

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By AME

JD # 25738