



State of Rhode Island and Providence Plantations
Office of the Secretary of State

No Fee

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Business Corporation
Annual Report - Amended**

(Section 7-1.2-1501(e) of the General Laws of Rhode Island, 1956, as amended)

This form is only to be used to amend the current annual report on file with this office.

ANNUAL REPORT YEAR: 2012

1. Corporate ID No. 000069515

2. Name of Corporation UTC Financial Services USA, Inc.

3. Street Address Principal Business Office:

No. and Street: UTC FINANCIAL CENTER, 82 INDEPENDENCE
SQUARE

City or Town: PORT OF SPAIN, WEST INDIES State: Zip: Country: TTO

4. Business Phone No.

401-274-7580

5. State of Incorporation

State: RI

6. Brief Description of the Character of Business Conducted in Rhode Island

BROKER/DEALER OF SECURITIES

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed. If officers and/or directors have been elected, the title Incorporator is no longer applicable; please delete.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	AMOY E. VAN LOWE	UTC FINANCIAL CENTER, 82 INDEPENDENCE SQUARE PORT OF SPAIN, WEST INDIES TTO
TREASURER	MICHELLE PERSAD	UTC FINANCIAL CENTER, 82 INDEPENDENCE SQUARE PORT OF SPAIN, WEST INDIES TTO
SECRETARY	GAYLE C. DANIEL-WORRELL	UTC FINANCIAL CENTER, 82 INDEPENDENCE SQUARE PORT OF SPAIN, WEST INDIES TTO
VICE PRESIDENT	LINDA WILSON-MANO	UTC FINANCIAL CENTER, 82 INDEPENDENCE SQUARE PORT OF SPAIN, WEST INDIES TTO
DIRECTOR	LINDA WILSON-MANO	UTC FINANCIAL CENTER, 82 INDEPENDENCE SQUARE PORT OF SPAIN, WEST INDIES TTO
DIRECTOR	AMOY VAN LOWE	UTC FINANCIAL CENTER, 82 INDEPENDENCE SQUARE PORT OF SPAIN, WEST INDIES TTO
DIRECTOR	EUTRICE CARRINGTON	UTC FINANCIAL CENTER, 82 INDEPENDENCE SQUARE PORT OF SPAIN, WEST INDIES TTO
DIRECTOR	AKHENATON MARCANO	UTC FINANCIAL CENTER, 82 INDEPENDENCE SQUARE PORT OF SPAIN, WEST INDIES TTO
DIRECTOR	MICHELLE PERSAD	UTC FINANCIAL CENTER, 82 INDEPENDENCE SQUARE PORT OF SPAIN, WEST INDIES TTO
DIRECTOR	GAYLE C. DANIEL-WORRELL	UTC FINANCIAL CENTER, 82 INDEPENDENCE SQUARE PORT OF SPAIN, WEST INDIES TTO

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$1.00	8,000.00	1010

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 1 Day of March, 2012 at 7:29:46 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By GAYLE DANIEL-WORRELL
Signature of Authorized Representative of the Corporation

SECRETARY
Title

This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.

Form No. 630
Revised 09/07

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State of Rhode Island and Providence Plantations

A. Ralph Mollis

Secretary of State

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

I, A. RALPH MOLLIS, Secretary of State of the State of Rhode Island
and Providence Plantations, hereby certify that this document, duly
executed in accordance with the provisions of Title 7 of the General Laws
of Rhode Island, as amended, has been filed in this office on this day:

A handwritten signature in black ink that reads "A. Ralph Mollis".

A. RALPH MOLLIS

Secretary of State

