



State of Rhode Island and Providence Plantations
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2012

1. Corporate ID No. 000123758

2. Name of Corporation Birchtree Financial Services, Inc.

3. Street Address Principal Business Office:

No. and Street: 801 NICOLLET AVENUE
SUITE 1100, WEST TOWER

City or Town: MINNEAPOLIS State: MN Zip: 55402 Country: USA

4. Business Phone No.

612-573-8750

5. State of Incorporation

State: OK

6. Brief Description of the Character of Business Conducted in Rhode Island

PROVIDE FINANCIAL PRODUCTS AND SERVICES

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
TREASURER	DOUGLAS OPHEIM	3600 AMERICAN BLVD. WEST, #300 BLOOMINGTON, MN 55431 USA
SECRETARY	ELLEN BURNS	200 SOUTH WACKER DRIVE, SUITE 3900 CHICAGO, IL 60606 USA
PRESIDENT	DALE S PHILLIPS	801 NICOLLET AVENUE, SUITE 1100 WEST TOWER MINNEAPOLIS, MN 55402- USA
CHIEF COMPLIANCE OFFICER	THOMAS K. RIPPBURGER	801 NICOLLET AVENUE, SUITE 1100 WEST TOWER MINNEAPOLIS, MN 55402 USA
VICE PRESIDENT	THOMAS K. RIPPBURGER	801 NICOLLET AVENUE, SUITE 1100 WEST TOWER MINNEAPOLIS, MN 55402 USA
DIRECTOR	DALE S. PHILLIPS	801 NICOLLET AVENUE, SUITE 1100 WEST TOWER MINNEAPOLIS, MN 55402 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
PWP		\$1.0000	20,000.00	0
CWP		\$1.0000	200,000.00	1000

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 4 Day of June, 2012 at 4:42:56 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By DOUGLAS OPHEIM/BPT
Signature of Authorized Representative of the Corporation

TREASURER
Title

This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.

Form No. 630
Revised 09/07