



State of Rhode Island and Providence Plantations  
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

Filing Period: January 1 - March 1

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2012

**1. Corporate ID No.** 000025971

**2. Name of Corporation** VP Distributors, Inc.

**3. Street Address Principal Business Office:**

No. and Street: 100 PEARL STREET

City or Town: HARTFORD

State: CT

Zip: 06103

Country: USA

**4. Business Phone No.**

8602634791

**5. State of Incorporation**

State: CT

**6. Brief Description of the Character of Business Conducted in Rhode Island**

BROKER; DEALER MUTUAL FUND MANAGEMENT AND DISTRIBUTION

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

<b>Title</b>	<b>Individual Name</b> First, Middle, Last, Suffix	<b>Address</b> Address, City or Town, State, Zip Code, Country
PRESIDENT	JEFFREY CERUTTI	100 PEARL STREET HARTFORD, CT 06103 USA
SENIOR VICE PRESIDENT	MICHAEL A ANGERTHAL	100 PEARL STREET HARTFORD, CT 06103 USA
EXECUTIVE VICE PRESIDENT	GEORGE R AYLWARD	100 PEARL STREET HARTFORD, CT 06103 USA
SECOND VICE PRESIDENT & CHIEF ADMINISTRATIVE OFFICER	THOMAS P. O'DONNELL	100 PEARL STREET HARTFORD, CT 06103 USA
VICE PRESIDENT	BRETT T. RUBLER	100 PEARL STREET HARTFORD, CT 06103 USA
SENIOR VICE PRESIDENT	DAVID N. WADLEY	100 PEARL STREET HARTFORD, CT 06103 USA
VICE PRESIDENT, COUNSEL & SECRETARY	KEVIN J. CARR	100 PEARL STREET HARTFORD, CT 06103 USA
SECURITIES COUNSEL AND ASSISTANT SECRETARY	JENNIFER S. FROMM	100 PEARL STREET HARTFORD, CT 06103 USA
VICE PRESIDENT & CHIEF COMPLIANCE OFFICER	DAVID C. MARTIN	100 PEARL STREET HARTFORD, MA 06103 USA
VICE PRESIDENT	JANET M. WAJDA	100 PEARL STREET HARTFORD, CT 06103 USA
ASSISTANT VICE PRESIDENT	DONNA L. BOLIO	100 PEARL STREET HARTFORD, CT 06103 USA
SENIOR VICE PRESIDENT	ELIZABETH C. CHRISTIAN	100 PEARL STREET HARTFORD, CT 06103 USA
VICE PRESIDENT & ASSISTANT SECRETARY	NANCY J. ENGBERG	100 PEARL STREET HARTFORD, CT 06103 USA
ASSISTANT VICE PRESIDENT	LYNN H. FERRARI	100 PEARL STREET HARTFORD, CT 06103 USA
ASSISTANT VICE PRESIDENT	LISA M. FYDENKEVEZ	100 PEARL STREET HARTFORD, CT 06103 USA
SENIOR VICE PRESIDENT	MICHAEL E. GOHLKE	100 PEARL STREET HARTFORD, CT 06103 USA
VICE PRESIDENT	HEIDI C. GRISWOLD	100 PEARL STREET HARTFORD, CT 06103 USA
SENIOR VICE PRESIDENT	MATTHEW HAMEL	100 PEARL STREET HARTFORD, CT 06103 USA
VICE PRESIDENT & TREASURER	DAVID G. HANLEY	100 PEARL STREET HARTFORD, CT 06103 USA
SECOND VICE PRESIDENT	DANIEL J. LODGE	100 PEARL STREET HARTFORD, CT 06103 USA
SENIOR VICE PRESIDENT	DIANA L. MULLEN	100 PEARL STREET HARTFORD, CT 06103 USA
DIRECTOR	GEORGE A. AYLWARD	100 PEARL STREET HARTFORD, CT 06103 USA
DIRECTOR	JEFFREY CERUTTI	100 PEARL STREET HARTFORD, CT 06103 USA
DIRECTOR	FRANCIS G. WALTMAN	100 PEARL STREET HARTFORD, CT 06103 USA

**8. Shares Authorized and Issued**

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$100.0000	5,000.00	0

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 25 Day of June, 2012 at 1:05:52 PM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By /S/JENNIFER S. FROMM  
Signature of Authorized Representative of the Corporation

ASSISTANT SECRETARY  
Title

**This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.**

Form No. 630  
Revised 09/07

© 2007 - 2012 State of Rhode Island and Providence Plantations  
All Rights Reserved