



**State of Rhode Island and Providence Plantations  
Office of the Secretary of State**

**Fee: \$20.00**

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Non-Profit Corporation  
Annual Report**

*Filing Period: June 1 - June 30*

*In accordance with R.I.G.L. 7-6-94, each corporation failing or refusing to file its annual report within the time prescribed by law (R.I.G.L. 7-6-91) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2012

**1. Corporate ID No.** 000145454

**2. Name of Corporation** Citizens Charitable Foundation

**3. State of Incorporation**

State: RI

**4. Corporate Address in Rhode Island**

No. and Street: ONE CITIZENS PLAZA

City or Town: PROVIDENCE

State: RI Zip: 02903 Country: USA

**5. Foreign Corporation. Enter Principal Office Address**

No. and Street:

City or Town: State: Zip: Country:

**6. Brief Description of the Character of the Affairs Which are Actually Conducted in Rhode Island**

TO PROVIDE AN ENDOWMENT FOR PURPOSES OF FUNDING CHARITABLE ORGANIZATIONS AND ACTIVITIES WHERE CITIZENS FINANCIAL GROUP, INC. OR ANY OF ITS AFFILIATES HAS ESTABLISHED A BANKING OR OTHER BUSINESS PRESENCE

**7. Names and Addresses of the Officers and Directors:**

*All officers and directors must be listed. If officers and/or directors have been elected, the title Incorporator is no longer applicable; please delete*

*THE NUMBER OF DIRECTORS OF A DOMESTIC(RHODE ISLAND)CORPORATION SHALL NOT BE LESS THAN THREE(3). R.I.G.L. 7-6-23*

| <b>Title</b>        | <b>Individual Name</b><br>First, Middle, Last, Suffix | <b>Address</b><br>Address, City or Town, State, Zip Code, Country |
|---------------------|-------------------------------------------------------|-------------------------------------------------------------------|
| PRESIDENT           | ELLEN ALEMANY                                         | ONE CITIZENS PLAZA<br>PROVIDENCE, RI 02903 USA                    |
| TREASURER           | HEIDI BROOKS                                          | ONE CITIZENS PLAZA<br>PROVIDENCE, RI 02903 USA                    |
| SECRETARY           | JOEL J. BRICKMAN                                      | ONE CITIZENS PLAZA<br>PROVIDENCE, RI 02903 USA                    |
| ASSISTANT SECRETARY | GARY A. ASHJIAN                                       | ONE CITIZENS PLAZA<br>PROVIDENCE, RI 02903 USA                    |
| DIRECTOR            | HEIDI BROOKS                                          | ONE CITIZENS PLAZA<br>PROVIDENCE, RI 02903 USA                    |
| DIRECTOR            | DENNIS DEVINE                                         | ONE CITIZENS PLAZA<br>PROVIDENCE, RI 02903 USA                    |
| DIRECTOR            | BRUCE FIGUEROA                                        | ONE CITIZENS PLAZA<br>PROVIDENCE, RI 02903 USA                    |

**8. REGISTERED AGENT IN RHODE ISLAND - DO NOT ALTER**  
**Changes Require Filing of Form 641 - R.I.G.L. 7-6-13 / 7-6-78**

CORPORATION SERVICE COMPANY 222 JEFFERSON BOULEVARD, SUITE 200 WARWICK , RI 02888

**9. This report must be signed by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver, or Trustee.**

**Signed this 26 Day of June, 2012 at 9:19:31 AM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-6.*

By GARY A. ASHJIAN  
Signature of Officer of the Corporation

☐ President or ☐ Vice President or ☐ Secretary or ☒ Assistant Secretary or  
☐ Treasurer or ☐ Receiver or ☐ Trustee (check one)

**This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in Section 7.**

Form No. 631  
Revised 09/07