



State of Rhode Island and Providence Plantations  
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Limited Liability Company  
Annual Report**

Filing Period: September 1 - November 1

In accordance with R.I.G.L. 7-16-66(d), each limited liability company failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-16-66(b&c)) is subject to a penalty fee of \$25.00.

**ANNUAL REPORT YEAR:** 2012

**1. ID No.** 000163719

**2. Exact Name of the Limited Liability Company** SJA Insurance Agency, LLC

**3. State of Formation**

State: NC

**4. Brief Description of the Character of the Business Which is Actually Conducted in Rhode Island**

SJA Insurance Agency, LLC is a wholly-owned subsidiary of Sompo Japan Insurance Company of America, who sells commercial property and casualty insurance through brokers in all 51 U.S. jurisdictions. We have no locations in Rhode Island and our only affiliation with Rhode Island is when one of our insureds has a location in that state, for which we are duly licensed and appointed.

**5. Principal Office Address**

No. and Street: 11405 NORTH COMMUNITY HOUSE ROAD  
SUITE 500

City or Town: CHARLOTTE State: NC Zip: 28277 Country: USA

**6. Mailing Address of Limited Liability Company and Name or Title of Contact Person:**

Contact Name: Contact Title:

No. and Street: 11405 NORTH COMMUNITY HOUSE ROAD  
SUITE 500

City or Town: CHARLOTTE State: NC Zip: 28277 Country: USA

**7. Name and Address of Each Manager of the Limited Liability Company, if Applicable.  
DO NOT LIST MEMBERS**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
MANAGER	ELLEN C CALDWELL	11405 NORTH COMMUNITY HOUSE ROAD, SUITE 500 CHARLOTTE, NC 28277 USA

**8. RESIDENT AGENT IN RHODE ISLAND - DO NOT ALTER  
Changes Require Filing of Form 642 - R.I.G.L. 7-16-11**

INCORP SERVICES, INC. 222 JEFFERSON BOULEVARD, SUITE 200 WARWICK , RI 02888

**9. This report must be executed by an authorized person pursuant to R.I.G.L. 7-16-66 (b).**

**Signed this 27 Day of September, 2012 at 10:18:33 AM by the authorized person.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the company, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-16.*

By ELLEN C. CALDWELL  
Signature of Authorized Person

Form No. 632  
Revised 09/07