



State of Rhode Island and Providence Plantations  
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

**ANNUAL REPORT YEAR:** 2013

**1. Corporate ID No.** 000083994

**2. Name of Corporation** Philadelphia Financial Distribution Company

**3. Street Address Principal Business Office:**

No. and Street: ONE LIBERTY PLACE  
1650 MARKET STREET, 54TH FLOOR

City or Town: PHILADELPHIA State: PA Zip: 19103 Country: USA

**4. Business Phone No.**

484-530-4800

**5. State of Incorporation**

State: DE

**6. Brief Description of the Character of Business Conducted in Rhode Island**

SECURITIES BROKER/DEALER.

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	SUSAN M OBERLIES	ONE LIBERTY PLACE, 1650 MARKET STREET, 54TH FLOOR PHILADLEPHIA, PA 19103 USA
TREASURER	KENT C KEIM	ONE LIBERTY PLACE, 1650 MARKET STREET, 54TH FLOOR PHILADELPHIA, PA 19103 USA
SECRETARY	SUSAN M OBERLIES	ONE LIBERTY PLACE, 1650 MARKET STREET, 54TH FLOOR PHILADELPHIA, PA 19103 USA
VICE PRESIDENT	JOHN K HILLMAN	ONE LIBERTY PLACE, 1650 MARKET STREET, 54TH FLOOR PHILADELPHIA, PA 19103 USA

VICE PRESIDENT	KAREN A JONES	ONE LIBERTY PLACE, 1650 MARKET STREET, 54TH FLOOR PHILADELPHIA, PA 19103 USA
ASST. COMPLIANCE OFFICER	HARLEY W MISSON	ONE LIBERTY PLACE, 1650 MARKET STREET, 54TH FLOOR PHILADELPHIA, PA 19103 USA
VICE PRESIDENT	JOSEPH A FILLIP	ONE LIBERTY PLACE, 1650 MARKET STREET, 54TH FLOOR PHILADELPHIA, PA 19103 USA
VICE PRESIDENT	JOHN T FISCHER	ONE LIBERTY PLACE, 1650 MARKET STREET, 54TH FLOOR PHILADELPHIA, PA 19103 USA
VICE PRESIDENT	TODD R MILLER	ONE LIBERTY PLACE, 1650 MARKET STREET, 54TH FLOOR PHILADELPHIA, PA 19103 USA
DIRECTOR	GEOFFREY N KAUFFMAN	ONE LIBERTY PLACE, 1650 MARKET STREET, 54TH FLOOR PHILADELPHIA, PA 19103 USA

### 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$25.0000	1,000.00	1000

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 26 Day of December, 2012 at 2:11:31 PM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By SUSAN M. OBERLIES  
Signature of Authorized Representative of the Corporation

PRESIDENT  
Title

**This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.**

Form No. 630  
Revised 09/07

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