



State of Rhode Island and Providence Plantations  
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

**ANNUAL REPORT YEAR:** 2013

**1. Corporate ID No.** 000080278

**2. Name of Corporation** ING America Equities, Inc.

**3. Street Address Principal Business Office:**

No. and Street: ONE ORANGE WAY

City or Town: WINDSOR

State: CT

Zip: 06095

Country: USA

**4. Business Phone No.**

612-342-3509

**5. State of Incorporation**

State: CO

**6. Brief Description of the Character of Business Conducted in Rhode Island**

Wholesale Broker-Dealer

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	MARGARET B. WALL	20 WASHINGTON AVE S MINNEAPOLIS, MN 55401 USA
TREASURER	DAVID PENDERGRASS	5780 POWERS FERRY RD ATLANTA, GA 30327 USA
SECRETARY	MEGAN HUDDLESTON	ONE ORANGE WAY WINDSOR, CT 06095 USA
CFO	CYNTHIA GRIMM	100 DEERFIELD LANE, SUITE 300 MALVERN, PA 19355 USA
TAX OFFICER	BARRY EIDEX	5780 POWERS FERRY ROAD NW

		ATLANTA, GA 30327 USA
TAX OFFICER	TERRY OWENS	5780 POWERS FERRY ROAD NW ATLANTA, GA 30327 USA
VICE PRESIDENT AND ASSISTANT TREASURER	SPENCER SHELL	5780 POWERS FERRY ROAD NW ATLANTA, GA 30327 USA
VICE PRESIDENT AND CHIEF OPERATING OFFICER	Laurie J. Rasanen	2000 21ST AVENUE NW MINOT, ND 58703 USA
VICE PRESIDENT	DAVID S. PENDERGRASS	5780 POWERS FERRY ROAD NW ATLANTA, GA 30327 USA
ASSISTANT SECRETARY	JENNIFER OGREN	20 WASHINGTON AVENUE SOUTH MINNEAPOLIS, MN 55401 USA
ASSISTANT SECRETARY	RANDALL K. PRICE	20 WASHINGTON AVENUE SOUTH MINNEAPOLIS, MN 55401 USA
ASSISTANT SECRETARY	MELISSA O'DONNELL	20 WASHINGTON AVENUE SOUTH MINNEAPOLIS, MN 55401 USA
ASSISTANT SECRETARY	SUSAN M. VEGA	20 WASHINGTON AVENUE SOUTH MINNEAPOLIS, MN 55401 USA
ASSISTANT SECRETARY	TINA NELSON	20 WASHINGTON AVENUE SOUTH MINNEAPOLIS, MN 55401 USA
CHIEF COMPLIANCE OFFICER	CAROL STERN	4550 N. PARK AVENUE CHEVY CHASE, MD 20815 USA
TAX OFFICER	CYNTHIA CRAYTOR	5780 POWERS FERRY ROAD NW ATLANTA, GA 30327 USA
VICE PRESIDENT	DEBORAH PRICKETT	909 LOCUST STREET DES MOINES, IA 50309 USA
DIRECTOR	DANIEL P. MULHERAN SR.	20 WASHINGTON AVE S MINNEAPOLIS, MN 55401 USA
DIRECTOR	MARGARET B. WALL	20 WASHINGTON AVE S MINNEAPOLIS, MN 55401 USA
DIRECTOR	Laurie J. Rasanen	2000 21ST AVENUE NW MINOT, ND 58703 USA

#### 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$1.0000	250,000.00	150000

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 22 Day of January, 2013 at 3:32:43 PM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By TINA NELSON  
Signature of Authorized Representative of the Corporation

ASSISTANT SECRETARY

Title

**This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.**

Form No. 630  
Revised 09/07

© 2007 - 2013 State of Rhode Island and Providence Plantations  
All Rights Reserved