



**State of Rhode Island and Providence Plantations
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2013

1. Corporate ID No. 000022920

2. Name of Corporation Ameriprise Financial Services, Inc.

3. Street Address Principal Business Office:

No. and Street: 707, 2ND AVE SO

City or Town: MINNEAPOLIS

State: MN

Zip: 55474

Country: USA

4. Business Phone No.

5. State of Incorporation

State: DE

6. Brief Description of the Character of Business Conducted in Rhode Island

A retail broker-dealer subsidiary that provides brokerage, investment, and financial advisory services. Provides retirement and financial education services through Ameriprise Retirement Services, a service group of Ameriprise Financial Services, Inc. and Ameriprise Trust Company. Provides workplace financial education and advisory services programs to the 401(k) client base of the retirement services businesses and trust company and to other major corporations and small businesses. Currently acts as the distributor for the RiverSource family of mutual funds.

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	DONALD E. FROUDE	707, 2ND AVE SO MINNEAPOLIS, MN 55474 USA
TREASURER	JAMES LOUIS HAMALAINEN	707, 2ND AVE SO MINNEAPOLIS, MN 55474 USA

SECRETARY	THOMAS RICHARD MOORE	707, 2ND AVE SO MINNEAPOLIS, MN 55474 USA
VICE PRESIDENT	GUMER CRUZ ALVERO	707, 2ND AVE SO MINNEAPOLIS, MN 55474 USA
DIRECTOR	JOSEPH EDWARD SWEENEY	707, 2ND AVE SO MINNEAPOLIS, MN 55474 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$10.0000	10,000.00	0

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 12 Day of February, 2013 at 11:13:52 AM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By TRACI HOUCK
Signature of Authorized Representative of the Corporation

POA
Title

This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.

Form No. 630
Revised 09/07

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