



State of Rhode Island and Providence Plantations  
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

**ANNUAL REPORT YEAR:** 2013

**1. Corporate ID No.** 000550744

**2. Name of Corporation** Lincoln Financial Investment Services Corporation

**3. Street Address Principal Business Office:**

No. and Street: 1300 SOUTH CLINTON STREET  
P.O. BOX 1110

City or Town: FORT WAYNE State: IN Zip: 46802 Country: USA

**4. Business Phone No.**

**5. State of Incorporation**

State: NC

**6. Brief Description of the Character of Business Conducted in Rhode Island**

FULL SERVICE RETAIL BROKER DEALER AND OTHER RELATED SERVICES

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	BRIAN A. KROLL	350 CHURCH STREET HARTFORD, CT 06193 USA
TREASURER	JEFFREY D COUTTS	150 N RADNOR CHESTER ROAD RADNOR, PA 19087 USA
SECRETARY	NANCY A SMITH	1300 SOUTH CLINTON STREET FORT WAYNE, IN 19087 USA
VICE PRESIDENT	DELSON R. CAMPBELL	1300 SOUTH CLINTON STREET FORT WAYNE, IN 46802 USA

DIRECTOR	BRIAN A KROLL	350 CHURCH STREET HARTFORD, CT 06193 USA
DIRECTOR	CHARLES C CORNELIO	100 NORTH GREENE STREET GREENSBORO, NC 27401 USA
DIRECTOR	ERIC S LEVY	150 RADNOR CHESTER ROAD RADNOR, PA 19087 USA
DIRECTOR	ROBERT L GRUBKA	350 CHURCH STREET HARTFORD, CT 06193 USA

#### 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$1.0000	100,000.00	6000

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 13 Day of February, 2013 at 1:36:52 PM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By NANCY A. SMITH  
Signature of Authorized Representative of the Corporation

SECRETARY  
Title

**This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.**

Form No. 630  
Revised 09/07

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