



**State of Rhode Island and Providence Plantations
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2013

1. Corporate ID No. 000056759

2. Name of Corporation Prudential Investment Management, Inc.

3. Street Address Principal Business Office:

No. and Street: 751 BROAD STREET

City or Town: NEWARK

State: NJ

Zip: 07102

Country: USA

4. Business Phone No.

5. State of Incorporation

State: NJ

6. Brief Description of the Character of Business Conducted in Rhode Island

Has responsibility for the investment business of Prudential.

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	DAVID A HUNT	751 BROAD STREET NEWARK, NJ 07102 USA
TREASURER	KEVIN C BUCKLEY	751 BROAD ST, PLAZA, 23 NEWARK, NJ 07102-3714 USA
SECRETARY	CATHERINE L VERHOFF	3 GATEWAY CTR, THREE GATEWAY CENTER, 15 NEWARK, NJ 07102-4061 USA
VICE PRESIDENT	RIC E ABEL	2200 ROSS AVE, TX COMMERCE TOWER, 41E DALLAS, TX 75201-2708 USA
DIRECTOR	SCOTT L HAYWARD	2 GATEWAY CTR, 6

		NEWARK, NJ 07102-5005 USA
DIRECTOR	JAMES W MCCARTHY	3 GATEWAY CTR, THREE GATEWAY CENTER, 15 NEWARK, NJ 07102-4061 USA
DIRECTOR	ALLEN A WEAVER	180 N STETSON AVE, TWO PRUDENTIAL PLAZA, 56 CHICAGO, IL 60601-6710 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$1.0000	100.00	98

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 13 Day of February, 2013 at 3:54:53 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By DARETH JEFFERS
Signature of Authorized Representative of the Corporation

POA
Title

This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.

Form No. 630
Revised 09/07

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