



**State of Rhode Island and Providence Plantations  
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

Filing Period: January 1 - March 1

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2013

**1. Corporate ID No.** 000132513

**2. Name of Corporation** PRINCIPAL FUNDS DISTRIBUTOR, INC.

**3. Street Address Principal Business Office:**

No. and Street: 711 HIGH STREET ATTN: SHIRLEY  
HOLLISTER, S-6-W87

City or Town: DES MOINES

State: IA Zip: 50392-0306 Country: USA

**4. Business Phone No.**

515-247-5111

**5. State of Incorporation**

State: WA

**6. Brief Description of the Character of Business Conducted in Rhode Island**

DISTRIBUTION SERVICES FOR MUTUAL FUND.

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	JILL R BROWN	1100 INVESTMENT BLVD EL DORADO HILLS, CA 95762-5710 USA
CFO	TRACY W BOLLIN	1100 INVESTMENT BLVD EL DORADO HILLS, CA 95762-5710 USA
DIRECTOR-TREASURY	DAN L WESTHOLM	1100 INVESTMENT BLVD EL DORADO HILLS, CA 95762-5710 USA
SVP	CARY FUCHS	1100 INVESTMENT BLVD EL DORADO HILLS, CA 95762-5710 USA

SVP	DAVID L REICHART	1100 INVESMENT BLVD EL DORADO HILLS, CA 95762-5710 USA
VP/TREASURER	TERESA M BUTTON	1100 INVESTMENT BLVD EL DORADO HILLS, CA 95762-5710 USA
VP-DISTRIBUTION, NATIONAL SALES MANAGER	TIMOTHY A HILL	1100 INVESTMENT BLVD EL DORADO HILLS, CA 95762-5710 USA
VICE PRESIDENT	MARK A STARK	1100 INVESTMENT BLVD EL DORADO HILLS, CA 95762-5710 USA
EXECUTIVE VICE PRESIDENT	MICHAEL J BEER	1100 INVESTMENT BLVD EL DORADO HILLS, CA 95762-5710 USA
SENIOR VICE PRESIDENT	DAVID J BROWN	1100 INVESTMENT BLVD EL DORADO HILLS, CA 95762-5710 USA
SVP/CHIEF INFORMATION OFFICER	ERIC W HAYS	1100 INVESTMENT BLVD EL DORADO HILLS, CA 95762-5710 USA
SVP/CORPORATE SECRETARY	JOYCE N HOFFMAN	1100 INVESTMENT BLVD EL DORADO HILLS, CA 95762-5710 USA
SVP/CHIEF MARKETING OFFICER	KEVIN J MORRIS	1100 INVESTMENT BLVD EL DORADO HILLS, CA 95762-5710 USA
SVP/COUNSEL	MICHAEL D ROUGHTON	1100 INVESTMENT BLVD EL DORADO HILLS, CA 95762-5710 USA
ASSISTANT GENERAL COUNSEL	STEPHEN G GALLAHER	1100 INVESTMENT BLVD EL DORADO HILLS, CA 95762-5710 USA
COUNSEL	ADAM U SHAIKH	1100 INVESTMENT BLVD EL DORADO HILLS, CA 95762-5710 USA
ASSISTANT CORPORATE SECRETARY	PATRICIA A BARRY	1100 INVESTMENT BLVD EL DORADO HILLS, CA 95762-5710 USA
COUNSEL	JENNIFER A MILLS	1100 INVESTMENT BLVD EL DORADO HILLS, CA 95762-5710 USA
AML OFFICER	TODD HENRICHSEN	1100 INVESTMENT BLVD EL DORADO HILLS, CA 95762-5710 USA
CHIEF COMPLIANCE OFFICER	PHILLIP J BARBARIA	1100 INVESTMENT BLVD EL DORADO HILLS, CA 95762-5710 USA
DIRECTOR	NORA M EVERETT	1100 INVESTMENT BLVD EL DORADO HILLS, CA 95762-5710 USA
DIRECTOR	TIMOTHY J MINARD	1100 INVESTMENT BLVD EL DORADO HILLS, CA 95762-5710 USA
DIRECTOR	JILL R BROWN	1100 INVESTMENT BLVD EL DORADO HILLS, CA 95762-5710 USA

## 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$10.0000	10,000.00	10000

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 14 Day of February, 2013 at 10:54:53 AM. *This electronic signature of the individual***

*or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By PATRICIA A BARRY

Signature of Authorized Representative of the Corporation

ASSISTANT CORPORATE SECRETARY

Title

**This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.**

Form No. 630  
Revised 09/07

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