



State of Rhode Island and Providence Plantations  
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

Foreign Business Corporation  
Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2013

1. Corporate ID No. 000024755

2. Name of Corporation PFS Investments, Inc.

3. Street Address Principal Business Office:

No. and Street: 3120 BRECKINRIDGE BOULEVARD

City or Town: DULUTH

State: GA Zip: 30099-0001 Country: USA

4. Business Phone No.

770-381-1000

5. State of Incorporation

State: GA

6. Brief Description of the Character of Business Conducted in Rhode Island

BROKER/DEALER SECURITIES

7. Names and Addresses of the Officers and Directors:

**All officers and directors must be listed.**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
TREASURER	SHARON K GRUBENHOFF	3120 BRECKINRIDGE BOULEVARD DULUTH, GA 30099 US
SECRETARY	STACEY K GEER	3120 BRECKINRIDGE BLVD DULUTH, GA 30099 USA
VICE PRESIDENT	ALEXIS GINN	3120 BRECKINRIDGE BOULEVARD DULUTH, GA 30099 US
VICE PRESIDENT	ALISON S RAND	3120 BRECKINRIDGE BOULEVARD DULUTH, GA 30099 US
VICE PRESIDENT	L KYLE SHRIVER	3120 BRECKINRIDGE BOULEVARD

		DULUTH, GA 30099 US
VICE PRESIDENT	DANIEL A WOODRING	3120 BRECKINRIDGE BOULEVARD DULUTH, GA 30099 US
ASSISTANT SECRETARY	LINDA R BEVERLY	3120 BRECKINRIDGE BOULEVARD DULUTH, GA 30099 US
ASSISTANT SECRETARY	MARY K HALLMAN	3120 BRECKINRIDGE BOULEVARD DULUTH, GA 30099 US
ASSISTANT SECRETARY	KURT J SIMMONS	3120 BRECKINRIDGE BOULEVARD DULUTH, GA 30099 US
ASSISTANT SECRETARY	DAVID WRIGHT	3120 BRECKINRIDGE BOULEVARD DULUTH, GA 30099 US
VICE PRESIDENT	LISA M VACANTE	3120 BRECKINRIDGE BOULEVARD DULUTH, GA 30099 US
VICE PRESIDENT	SUZANNE E LOOMIS	3120 BRECKINRIDGE BOULEVARD DULUTH, GA 30099 US
ASSISTANT SECRETARY	JUDY R. TROLLINGER	3120 BRECKINRIDGE BLVD DULUTH, GA USA
VICE PRESIDENT	M ELLEN MONTGOMERY	3120 BRECKINRIDGE BOULEVARD DULUTH, GA 30099 US
VICE PRESIDENT	DAWN G REECE	3120 BRECKINRIDGE BOULEVARD DULUTH, GA 30099 US
ASST. SECRETARY	JUDY R. TROLLINGER	3120 BRECKINRIDGE BOULEVARD DULUTH, GA 30099 US
PRESIDENT	WILLIAM A KELLY	3120 BRECKINRIDGE BOULEVARD DULUTH, GA 30099-0001 USA
VICE PRESIDENT	ALLEN F COLEMAN	3120 BRECKINRIDGE BOULEVARD DULUTH, GA 30099 US
VICE PRESIDENT	JOHN S WATTS III	3120 BRECKINRIDGE BOULEVARD DULUTH, GA 30099 US
DIRECTOR	CYNTHIA K. MITCHELL	3120 BRECKINRIDGE BOULEVARD DULUTH, GA 30099 US
DIRECTOR	DAVID H. SIEGEL	3120 BRECKINRIDGE BOULEVARD DULUTH, GA 30099 US

## 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$0.1000	100,000.00	25500

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 22 Day of February, 2013 at 12:18:57 PM. This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.**

By JUDY R. TROLLINGER  
Signature of Authorized Representative of the Corporation

ASSISTANT SECRETARY  
Title

**This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.**

Form No. 630  
Revised 09/07

© 2007 - 2013 State of Rhode Island and Providence Plantations  
All Rights Reserved