



**State of Rhode Island and Providence Plantations  
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

*Filing Period: January 1 - March 1*

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2013

**1. Corporate ID No.** 000147643

**2. Name of Corporation** SunTrust Investment Services, Inc.

**3. Street Address Principal Business Office:**

No. and Street: 303 PEACHTREE CENTER AVENUE, SUITE  
140

City or Town: ATLANTA

State: GA Zip: 30303 Country: USA

**4. Business Phone No.**

404 813-0995

**5. State of Incorporation**

State: GA

**6. Brief Description of the Character of Business Conducted in Rhode Island**

BROKER/DEALER AND INSURANCE AGENCY ACTIVITIES, PRIMARILY FOR RETAIL CUSTOMERS.

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
SECRETARY	WILLIAM SCOTT DIXON	303 PEACHTREE CENTER AVE., SUITE 140 ATLANTA, GA 30303 USA
CFO	SARAH D. RICH	303 PEACHTREE ST. 5TH FLOOR ATLANTA, GA 30308 USA
DIRECTOR	SUSAN M HECHTLINER	303 PEACHTREE CENTER AVENUE, SUITE 140 ATLANTA, GA 30303 USA
DIRECTOR	ROY A MATTES	11 10TH STREET

		RICHMOND, VA 23219 USA
ASSISTANT SECRETARY	KATHLEEN TRIMBLE	303 PEACHTREE STREET ATLANTA, GA 30308 USA
DIRECTOR	HUGH M TARBUTTON JR	303 PEACHTREE CENTER AVENUE ATLANTA, GA 30303 US
DIRECTOR	WILLEM HATTINK	303 PEACHTREE STREET, NE ATLANTA, GA 30308 USA
DIRECTOR	WILLIAM SCOTT DIXON	303 PEACHTREE CENTER AVENUE, SUITE 140 ATLANTA, GA 30303 USA
DIRECTOR	LAURA ALLISON DUKES	303 PEACHTREE ST. STE. 3200 ATLANTA, GA 30308 USA

## 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$1.0000	52,125.00	52125

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 21 Day of March, 2013 at 11:09:30 AM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By KATHLEEN M. TRIMBLE  
Signature of Authorized Representative of the Corporation

ASSISTANT SECRETARY  
Title

**This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.**

Form No. 630  
Revised 09/07

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