



**State of Rhode Island and Providence Plantations  
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

Filing Period: January 1 - March 1

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2013

**1. Corporate ID No.** 000039459

**2. Name of Corporation** EMERSON INVESTMENT MANAGEMENT, INC.

**3. Street Address Principal Business Office:**

No. and Street: 30 FEDERAL STREET

City or Town: BOSTON

State: MA

Zip: 02110

Country: USA

**4. Business Phone No.**

617-695-1516

**5. State of Incorporation**

State: MA

**6. Brief Description of the Character of Business Conducted in Rhode Island**

INVESTMENT ADVISOR

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
TREASURER	STACY A. REINHART	30 FEDERAL STREET BOSTON, MA 02110 USA
SECRETARY	CLARENCE H KING III	30 FEDERAL STREET BOSTON, MA 02110 USA
BOARD MEMBER	WILLIAM M. WELDON	22 FEDERAL EAGLE ROAD DUXBURY, MA 02332 USA
BOARD MEMBER	JAMES OATES	150 CONGRESS STREET BOSTON, MA 02110 USA
PRESIDENT	BRADFORD A GARDNER	30 FEDERAL STREET, 5TH FLOOR

		BOSTON, MA 02110- USA
BOARD MEMBER	J. NICHOLAS HURD	ONE FEDERAL STREET BOSTON, MA 02110 USA
BOARD MEMBER	DAVID CORCORAN	5 FRANKLIN ROAD LEXINGTON, MA 02420 USA

## 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$0.0100	5,000,000.00	2273000

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 21 Day of March, 2013 at 2:16:30 PM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By KRISTIN VESPUCCI-CASE

Signature of Authorized Representative of the Corporation

ADMINISTRATION DIRECTOR

Title

**This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.**

Form No. 630  
Revised 09/07

© 2007 - 2013 State of Rhode Island and Providence Plantations  
All Rights Reserved