

No Filing Fee (See Instructions)

ID Number: 226141



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Office of the Secretary of State  
Division of Business Services  
148 W. River Street  
Providence, Rhode Island 02904-2615

APPLICATION FOR TRANSFER OF AUTHORITY

American Water Resources, LLC

(Insert full name of the entity following the transfer)

SECTION I: TO BE COMPLETED BY ENTITY TRANSFERRING AUTHORITY

Pursuant to the applicable provisions of the Rhode Island General Laws, 1956, as amended, the undersigned duly qualified foreign (**check one box only**):

- ☐ Non-Profit Corporation or ☒ Business Corporation or ☐ Limited Liability Company or  
☐ Limited Partnership or ☐ Limited Liability Partnership

submits the following Application for the purpose of transferring its authority to a (**check one box only**):

- ☐ Limited Partnership or ☒ Limited Liability Company or ☐ Business Corporation or  
☐ Limited Liability Partnership or ☐ Non-Profit Corporation

a. The name of the entity filing this application for transfer is:

American Water Resources, Inc.

b. The date on which the entity filing this application qualified to conduct business in the State of Rhode Island:

09/07/2007

c. The jurisdiction upon transfer of authority:

Virginia

d. The name of the entity following the transfer of authority is:

American Water Resources, LLC

e. The application for transfer is filed as an accompanying certificate to the ☐ certificate of registration for a limited partnership or ☒ application for registration for a limited liability company or ☐ application for certificate of authority for a business corporation or ☐ application for certificate of authority for a non-profit corporation or ☐ notice of registration for a registered limited liability partnership (**check one box only**).

f. The application for transfer is accompanied by a certificate of good standing or legal existence issued by the proper officer of the state or country under the laws of which incorporated

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OFFICE OF THE SECRETARY OF STATE  
DIVISION OF BUSINESS SERVICES

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**SECTION II: TO BE COMPLETED BY ENTITY TRANSFERRING AUTHORITY**

Under penalty of perjury, I/we declare and affirm that I/we have examined this Application for Transfer of Authority, including any accompanying attachments, and that all statements contained herein are true and correct and that the undersigned is authorized to sign this certificate on behalf of the entity set forth in Section 1A.

Date: \_\_\_\_\_

\_\_\_\_\_  
Print Name of Other Entity

OR

\_\_\_\_\_  
Print Name of Partnership

By: \_\_\_\_\_  
Signature of Authorized Person

By: \_\_\_\_\_  
Signature of Partner

By: \_\_\_\_\_  
Signature of Authorized Person

By: \_\_\_\_\_  
Signature of Partner

By: \_\_\_\_\_  
Signature of Partner

American Water Resources, Inc.

\_\_\_\_\_  
Print Name of Corporation

OR

\_\_\_\_\_  
Print Name of Limited Liability Company

By: Shana C. Bentley  
Signature of Authorized Person

By: \_\_\_\_\_  
Signature of Authorized Person

By: \_\_\_\_\_  
Signature of Authorized Person

By: \_\_\_\_\_  
Signature of Authorized Person



# State of Rhode Island and Providence Plantations

**A. Ralph Mollis**

*Secretary of State*

## STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

I, A. RALPH MOLLIS, Secretary of State of the State of Rhode Island  
and Providence Plantations, hereby certify that this document, duly  
executed in accordance with the provisions of Title 7 of the General Laws  
of Rhode Island, as amended, has been filed in this office on this day:

A handwritten signature in black ink that reads "A. Ralph Mollis".

A. RALPH MOLLIS

*Secretary of State*

