

State of Rhode Island and Providence Plantations Office of the Secretary of State

Fee: \$50.00

Division Of Business Services 148 W. River Street Providence RI 02904-2615

(401) 222-3040

Limited Liability Company Annual Report

Filing Period: September 1 - November 1

In accordance with R.I.G.L. 7-16-66(d), each limited liability company failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-16-66(b&c)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2013

1. **ID No.** <u>000126572</u>

2. Exact Name of the Limited Liability Company ADVISED ASSETS GROUP, LLC

3. State of Formation

State: CO

4. Brief Description of the Character of the Business Which is Actually Conducted in Rhode Island

INVESTMENT ADVICE

5. Principal Office Address

No. and Street: 8515 EAST ORCHARD ROAD

City or Town: GREENWOOD VILLAGE State: CO Zip: 80111 Country: USA

6. Mailing Address of Limited Liability Company and Name or Title of Contact Person:

Contact Name: Contact Title:

No. and Street: 8515 EAST ORCHARD ROAD

City or Town: GREENWOOD VILLAGE State: CO Zip: 80111 Country: USA

7. Name and Address of Each Manager of the Limited Liability Company, if Applicable. DO NOT LIST MEMBERS

| Title | Individual Name | Address |
|---------|-----------------------------|---|
| | First, Middle, Last, Suffix | Address, City or Town, State, Zip Code, Country |
| MANAGER | S. MARK CORBETT | 8515 E. ORCHARD ROAD GREENWOOD VILLAGE, CO 80111 USA |
| MANAGER | ROBERT K. SHAW | 8515 E. ORCHARD ROAD GREENWOOD VILLAGE, CO 80111 USA |
| MANAGER | DAVID MCLEOD | 8515 E ORCHARD ROAD GREENWOOD VILLAGE, CO 80111 USA |
| MANAGER | CHARLES NELSON | 8515 EAST ORCHARD ROAD GREENWOOD VILLAGE, CO 80111 USA |
| MANAGER | WILLIAM HARMON | 8515 E ORCHARD RD |

GREENWOOD VILLAGE, CO 80111

8. RESIDENT AGENT IN RHODE ISLAND - DO NOT ALTER Changes Require Filing of Form 642 - R.I.G.L. 7-16-11

9. This report must be executed by an authorized person pursuant to R.I.G.L. 7-16-66 (b).

Signed this 9 Day of October, 2013 at 10:34:50 AM by the authorized person. This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the company, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-16.

By <u>CHARLES NELSON</u> Signature of Authorized Person

Form No. 632 Revised 09/07

 $\ensuremath{@}$ 2007 - 2013 State of Rhode Island and Providence Plantations All Rights Reserved