



State of Rhode Island and Providence Plantations  
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

Foreign Business Corporation  
Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2014

1. Corporate ID No. 000022338

2. Name of Corporation HARTFORD EQUITY SALES COMPANY, INC.

3. Street Address Principal Business Office:

No. and Street: ONE HARTFORD PLAZA

City or Town: HARTFORD

State: CT

Zip: 06155

Country: USA

4. Business Phone No.

5. State of Incorporation

State: CT

6. Brief Description of the Character of Business Conducted in Rhode Island

THIRD PARTY ADMINISTRATIVE SERVICES

7. Names and Addresses of the Officers and Directors:

**All officers and directors must be listed.**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
SECRETARY	CATHLEEN SHINE	200 HOPMEADOW STREET SIMSBURY, CT 06089 USA
TREASURER	ROBERT W. PAIANO	ONE HARTFORD PLAZA HARTFORD, CT 06155 USA
PRESIDENT	CHRISTOPHER J. DAGNAULT	500 BIELENBERG DRIVE WOODBURY, MN 55125 USA
DIRECTOR	JANE WOLAK	200 HOPMEADOW STREET SIMSBURY, CT 06089 USA

**8. Shares Authorized and Issued**

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$100.0000	250.00	250

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 7 Day of January, 2014 at 2:04:09 PM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By CATHLEEN SHINE  
Signature of Authorized Representative of the Corporation

SECRETARY  
Title

**This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.**

Form No. 630  
Revised 09/07

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