



State of Rhode Island and Providence Plantations  
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

Foreign Business Corporation  
Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2014

1. Corporate ID No. 000503931

2. Name of Corporation HSBC Insurance Agency (USA) Inc.

3. Street Address Principal Business Office:

No. and Street: 452 FIFTH AVENUE

City or Town: NEW YORK

State: NY

Zip: 10018

Country: USA

4. Business Phone No.

866-543-3877

5. State of Incorporation

State: NY

6. Brief Description of the Character of Business Conducted in Rhode Island

INSURANCE AGENCY

7. Names and Addresses of the Officers and Directors:

**All officers and directors must be listed.**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	MONIQUE N THACKER	452 5TH AVENUE,22ND FLOOR NEW YORK, NY 10018 USA
SECRETARY	PAMELA SNELL	ONE HSBC CENTER 27TH FLOOR BUFFALO, NY 14203 USA
ASSISTANT SECRETARY	HELEN KUJAWA	ONE HSBC CENTER 27TH FLOOR BUFFALO, NY 14203 USA
VICE PRESIDENT	CHRISTINA A KOZARITZ	26525 N RIVERWOODS BLVD METTAWA, IL 60045 USA
SR VICE PRESIDENT	STEVEN N NACHMAN	545 WASHINGTON BLVD

		JERSEY CITY, NJ 07310 USA
VICE PRESIDENT	EDWARD H SCHRUN	545 WASHINGTON BLVD JERSEY CITY, NJ 07310 USA
SR VICE PRESIDENT	IRENE BLUMBERG	300 DELAWARE AVE STE 1401 WILMINGTON, DE 19801 USA
SR VICE PRESIDENT	J MICHAEL LEADAM	2929 WALDEN AVE DEPEW, NY 14042 USA
ASSISTANT SECRETARY	ANTHONY DEL PIANO	545 WASHINGTON BLVD JERSEY CITY, NJ 07310 USA
ASSISTANT TREASURER	JAMES E STIEGEL	26525 N RIVERWOODS BLVD METTAWA, IL 60045 USA
ASSISTANT TREASURER	STEVEN E SMITH	26525 N RIVERWOODS BLVD METTAWA, IL 60045 USA
VICE PRESIDENT	JUAN L GARCIA	452 FIFTH AVE 22ND FLOOR NEW YORK, NY 10018 USA
VICE PRESIDENT	STEVE PASCALE	452 FIFTH AVE 22ND FLOOR NEW YORK, NY 10018 USA
DIRECTOR	ANDREW IRELAND	452 FIFTH AVE 22ND FLOOR NEW YORK, NY 10018 USA
DIRECTOR	PHILIP J SILENO	452 5TH AVE, 22ND FL NEW YORK, NY 10118 USA

#### 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$1.0000	1,000.00	1000

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 13 Day of January, 2014 at 10:19:10 AM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By J MICHAEL LEADAM  
Signature of Authorized Representative of the Corporation

SR VICE PRESIDENT  
Title

**This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.**

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