



**State of Rhode Island and Providence Plantations  
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

Filing Period: January 1 - March 1

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2014

**1. Corporate ID No.** 000142593

**2. Name of Corporation** ROACH HOWARD SMITH & BARTON, INC.

**3. Street Address Principal Business Office:**

No. and Street: 8750 N CENTRAL EXPRESSWAY, SUITE 500

City or Town: DALLAS

State: TX Zip: 75231 Country: USA

**4. Business Phone No.**

972-744-2702

**5. State of Incorporation**

State: TX

**6. Brief Description of the Character of Business Conducted in Rhode Island**

Insurance agency sales / marketing

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
SECRETARY	BARTON TUCKER	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
CEO	THOMAS HUGHSTON	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
SR/ VICE PRESIDENT	DAVID KOHL	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
COO	JOHN LOSURDO	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
CHAIRMAN	KAREN FARRIS	8750 N CENTRAL EXPY STE 500

		DALLAS, TX 75231 USA
VICE PRESIDENT	GWEN BIEHLER	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
VICE PRESIDENT	LARRY D PITTMAN	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
SR VICE PRESIDENT	MAX H SMITH	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
VICE PRESIDENT	STACEY MILLER	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
VICE PRESIDENT	KAREN E BITZER	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
VICE PRESIDENT	PAUL R LINDGREN	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
CONTROLLER	GRADY ALLUMS	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
SR. VICE PRESIDENT	DOROTHY HEDMAN	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
SR. VICE PRESIDENT	JOHN HALL III	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
SR. VICE PRESIDENT	DOUG JONES	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
SR. VICE PRESIDENT	BARTON H TUCKER	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
ASST. VICE PRESIDENT	BRUCE BRIGGS	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
ASST. VICE PRESIDENT	KELEIGH BUCHANAN	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
VICE PRESIDENT	DENISE BUMP	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
SR. VICE PRESIDENT	DAVID COOPER	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
ASST. VICE PRESIDENT	BRANDON FINKS	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
ASST. VICE PRESIDENT	LEIGH HARRIS	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
VICE PRESIDENT	JAN HOLCOMBE	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
VICE PRESIDENT	RYAN HYMAN	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
ASST. VICE PRESIDENT	GREG LANGFORD	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
ASST. VICE PRESIDENT	SPENCER MCCLENAHAN	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
ASST. VICE PRESIDENT	HEATHER ONEILL	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
ASST. VICE PRESIDENT	CHRIS SCHICKLING	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
ASST. VICE PRESIDENT	PAULA WALTERS	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
VICE PRESIDENT	COURTNEY WOODRUFF	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
DIRECTOR	DOUGLAS JONES	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
DIRECTOR	JOHN HALL III	8750 N CENTRAL EXPY STE 500

		DALLAS, TX 75231 USA
DIRECTOR	THOMAS A HUGHSTON	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
DIRECTOR	BARTON H TUCKER	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
DIRECTOR	DAVID KOHL	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA

## 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$0.1000	300,000.00	79812

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 21 Day of January, 2014 at 11:53:12 AM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By BARTON H TUCKER  
Signature of Authorized Representative of the Corporation

SECRETARY  
Title

**This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.**

Form No. 630  
Revised 09/07

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