



State of Rhode Island and Providence Plantations  
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

**ANNUAL REPORT YEAR:** 2014

**1. Corporate ID No.** 000148014

**2. Name of Corporation** PROFESSIONAL RISK BROKERS, INC.

**3. Street Address Principal Business Office:**

No. and Street: 11325 N. COMMUNITY HOUSE ROAD  
SUITE 200

City or Town: CHARLOTTE

State: NC Zip: 28277 Country: USA

**4. Business Phone No.**

513-579-2480

**5. State of Incorporation**

State: IL

**6. Brief Description of the Character of Business Conducted in Rhode Island**

WHOLESALE AGENCY/BROKERAGE FOR E&S LINES

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

| Title          | Individual Name<br>First, Middle, Last, Suffix | Address<br>Address, City or Town, State, Zip Code, Country |
|----------------|------------------------------------------------|------------------------------------------------------------|
| PRESIDENT      | HERMAN C. ROBERTS, JR.                         | 11325 N. COMMUNITY HOUSE ROAD<br>CHARLOTTE, NC 28277 USA   |
| TREASURER      | DAVID J WITZGALL                               | 301 E. 4TH ST.<br>CINCINNATI, OH 45202 USA                 |
| SECRETARY      | EVE CUTLER ROSEN                               | 301 E. 4TH ST.<br>CINCINNATI, OH 45202 USA                 |
| VICE PRESIDENT | SCOTT W. PERRY                                 | 41-B NEW LONDON PIKE<br>GLASTONBURY, CT 06033 USA          |

|                          |                     |                                                          |
|--------------------------|---------------------|----------------------------------------------------------|
| ASSISTANT TREASURER      | H. KIM BAIRD        | 301 E. 4TH ST.<br>CINCINNATI, OH 45202 USA               |
| SENIOR VICE PRESIDENT    | ROBERT H NAGAISHI   | 725 S. FIGUEROA STREET<br>LOS ANGELES, CA 90017 USA      |
| EXECUTIVE VICE PRESIDENT | FRANKIE C HONEYCUTT | 11325 N. COMMUNITY HOUSE ROAD<br>CHARLOTTE, NC 28277 USA |
| SENIOR VICE PRESIDENT    | THOMAS R OSBOURNE   | 11325 N. COMMUNITY HOUSE ROAD<br>CHARLOTTE, NC 28277 USA |
| ASSISTANT VICE PRESIDENT | PRISCILLA MACARAIG  | 725 S. FIGUEROA ST<br>LOS ANGELES, CA 90017 USA          |
| ASSISTANT SECRETARY      | STEPHEN C BERAHA    | 301 E. 4TH ST.<br>CINCINNATI, OH 45202 USA               |
| ASSISTANT VICE PRESIDENT | DONNA G. HARWOOD    | 11325 N. COMMUNITY HOUSE ROAD<br>CHARLOTTE, NC 28277 USA |
| ASSISTANT TREASURER      | ROBERT J ZBACNIK    | 301 E. 4TH ST.<br>CINCINNATI, OH 45202 USA               |
| DIRECTOR & CHAIRMAN      | GARY J GRUBER       | 301 E. 4TH ST.<br>CINCINNATI, OH 45202 USA               |
| DIRECTOR                 | DONALD D LARSON     | 301 E. 4TH ST.<br>CINCINNATI, OH 45202 USA               |

#### 8. Shares Authorized and Issued

| Class of Stock | Series of Stock | Par Value Per Share | Total Authorized<br>Shares<br><i>Number of Shares</i> | Total Issued<br>and<br>Outstanding<br><i>Num of<br/>Shares</i> |
|----------------|-----------------|---------------------|-------------------------------------------------------|----------------------------------------------------------------|
| CWP            |                 | \$1.0000            | 10,000.00                                             | 1000                                                           |

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 30 Day of January, 2014 at 11:13:15 AM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By ROBERT ZBACNIK  
Signature of Authorized Representative of the Corporation

ASSISTANT TREASURER  
Title

**This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.**

