



**State of Rhode Island and Providence Plantations
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2014

1. Corporate ID No. 000158038

2. Name of Corporation Woodbury Financial Services, Inc.

3. Street Address Principal Business Office:

No. and Street: 7755 3RD STREET NORTH

City or Town: OAKDALE

State: MN

Zip: 55128

Country: USA

4. Business Phone No.

8009279801

5. State of Incorporation

State: MN

6. Brief Description of the Character of Business Conducted in Rhode Island

BROKER/DEALER

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	ERICA MCGINNIS	7755 3RD STREET NORTH OAKDALE, MN 55128 USA
TREASURER	CRAIG H DAHMEN	7755 3RD STREET NORTH OAKDALE, MN 55128 USA
SECRETARY	NOAH D SORKIN	7755 3RD STREET NORTH OAKDALE, MN 55128 USA
CEO	ERICA MCGINNIS	7755 3RD STREET NORTH OAKDALE, MN 55128 USA
CFO	CRAIG H DAHMEN	7755 3RD STREET NORTH

		OAKDLAE, MN 55128 USA
EXECUTIVE VICE PRESIDENT	MATTHEW SCHULETER	7755 3RD STREET NORTH OAKDALE, MN 55128 USA
EXECUTIVE VICE PRESIDENT	NOAH D SORKIN	7755 3RD STREET NORTH OAKDALE, MN 55128 USA
EXECUTIVE VICE PRESIDENT	RICHARD D FERGESEN	7755 3RD STREET NORTH OAKDALE, MN 55128 USA
FINANCIAL PRINCIPAL	I SIMMONE FIELDS	7755 3RD STREET NORTH OAKDALE, MN 55128 USA
GENERAL COUNSEL	NOAH D SORKIN	7755 3RD STREET NORTH OAKDALE, MN 55128 USA
VICE PRESIDENT	SALLY BOURDAMIS	7755 3RD STREET NORTH OAKDALE, MN 55128 USA
SENIOR VICE PRESIDENT	CRAIG H DAHMEN	7755 3RD STREET NORTH OAKDALE, MN 55128 USA
VICE PRESIDENT	WILLIAM T DEVANNEY	7755 3RD STREET NORTH OAKDALE, MN 55128 USA
VICE PRESIDENT	GARY BENDER	7755 3RD STREET NORTH OAKDALE, MN 55128 USA
VICE PRESIDENT	MARK GROSS	7755 3RD STREET NORTH OAKDALE, MN 55128 USA
AML OFFICER	ALBERT JOHNSON	7755 3RD STREET NORTH OAKDALE , MN 55128 USA
ASSISTANT SECRETARY	ABBY HENIG	7755 3RD STREET NORTH OAKDALE, MN 55128 USA
ASSISTANT SECRETARY	DIAN MATTHEW	7755 3RD STREET NORTH OAKDALE, MN 55128 USA
ASSISTANT SECRETARY	KIM A SPREEMAN	7755 3RD STREET NORTH OAKDALE, MN 55128 USA
ASSISTANT SECRETARY	DEBORAH K HOFFMAN	7755 3RD STREET NORTH OAKDALE, MN 55128 USA
ASSISTANT SECRETARY	JENNIFER R RELIEN	7755 3RD STREET NORTH OAKDALE, MN 55128 USA
ASSISTANT TREASURER	I SIMMONE FIELDS	7755 3RD STREET NORTH OAKDLAE, MN 55128 USA
CHIEF COMPLIANCE OFFICER	KEN GOODALL	7755 3RD STREET NORTH OAKDALE, MN 55128 USA
CHIEF COMPLIANCE OFFICER	ALBERT JOHNSON	7755 3RD STREET NORTH OAKDALE, MN 55128 USA
DIRECTOR	DAVID BALLARD	7755 3RD STREET NORTH OAKDALE, MN 55128 USA
DIRECTOR	ERICA MCGINNIS	7755 3RD STREET NORTH OAKDALE, MN 55128 USA
DIRECTOR	RICHARD D. FERGESEN	7755 3RD STREET NORTH OAKDALE, MN 55128 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$1.0000	50,000.00	1000

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 20 Day of February, 2014 at 1:26:21 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By NOAH D SORKIN
Signature of Authorized Representative of the Corporation

AUTHORIZED SIGNER
Title

This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.

Form No. 630
Revised 09/07

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