

Filing and License Fee: \$310.00 minimum



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Office of the Secretary of State  
Division of Business Services  
148 W. River Street  
Providence, Rhode Island 02904-2615

BUSINESS CORPORATION

APPLICATION FOR CERTIFICATE OF AUTHORITY

2014 MAR 11 AM 10:20  
SECRETARY OF STATE  
CORPORATIONS DIV

Pursuant to the provisions of Section 7-1.2-1405 of the General Laws of Rhode Island, 1956, as amended, the undersigned foreign corporation hereby applies for a Certificate of Authority to transact business in the State of Rhode Island, and for that purpose submits the following statement:

1. The name of the corporation is Raymond James Insurance Group, Inc.
2. It is incorporated under the laws of Florida
3. The name, if different, which it elects to use in Rhode Island is:  
*(a) If the name of the corporation in its jurisdiction of incorporation does not contain the word "corporation", "company", "incorporated", or "limited" or an abbreviation thereof, then list the name of the corporation with the addition of one of the above corporate endings for use in Rhode Island:*  
  
*(b) If the corporate name is not available in Rhode Island, then set forth below the fictitious name under which the corporation will qualify and transact business in Rhode Island as stated in the "Fictitious Business Name Statement" to be filed with this application:*
4. The date of its incorporation is January 23, 1968 and the period of its duration is perpetual
5. The address of its principal office is 880 Carillon Parkway, St. Petersburg, FL 33716
6. The address of its proposed registered office in Rhode Island is 450 Veterans Memorial Parkway, Suite 7A,  
(Street Address, not P.O. Box)  
East Providence, RI 02914 and the name of its proposed registered agent in Rhode Island at  
(City/Town) (Zip Code)  
that address is C T Corporation System  
(Name of Agent)
7. The purpose or purposes which it proposes to pursue in the transaction of business in Rhode Island are:  
Provides sales, marketing and administrative services for insurance and annuity products to affiliated financial advisors.
8. (a) The names and respective addresses of its directors (optional unless directors are required under the laws of the state or country of which it is incorporated).

	<u>Name</u>	<u>Address</u>
Director	<u>James A. Fulp</u>	<u>880 Carillon Parkway, St. Petersburg, FL 33716</u>
Director	<u>Scott L. Stolz</u>	<u>880 Carillon Parkway, St. Petersburg, FL 33716</u>
Director	<u>Dennis W. Zank</u>	<u>880 Carillon Parkway, St. Petersburg, FL 33716</u>
Director	<u></u>	<u></u>

**FILED**  
MAR 11 2014  
By 49-219607  
A.A. 10:20 A.M.

(b) The names and respective addresses of its principal officers (mandatory if directors are not required under the laws of the state or country of which it is incorporated).

	<u>Name</u>	<u>Address</u>
President	Scott L. Stolz	880 Carillon Parkway, St. Petersburg, FL 33716
Vice President	Elizabeth J. Maziad	880 Carillon Parkway, St. Petersburg, FL 33716
Treasurer	Richard B. Franz II	880 Carillon Parkway, St. Petersburg, FL 33716
Secretary	Elizabeth J. Maziad	880 Carillon Parkway, St. Petersburg, FL 33716

9. The aggregate number of shares which it has authority to issue, itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is:

<u>Number of Shares</u>	<u>Class</u>	<u>Series</u>	<u>Par Value or Statement that Shares are without Par Value</u>
5,000	Common	N/A	\$1.00

10. (a) \$ 5,896,000 = An estimate of the value of all property to be owned by the corporation for the following year, wherever located.
- (b) \$ 0 = An estimate of the value of the corporation's property to be located within Rhode Island during the following year.
- (c) 0 % = An estimate, expressed as a percentage, of the proportion that the estimated value of the property of the corporation to be located within this state during the following year bears to the value of all property of the corporation to be owned during the following year, wherever located. {divide (b) by (a) and multiply by 100 to obtain the percentage}
11. (a) \$ 350,000,000 = An estimate of the gross amount of business to be transacted by the corporation during the following year.
- (b) \$ 450,000 = An estimate of the gross amount of business to be transacted by the corporation at or from places of business in Rhode Island during the following year.
- (c) 12 % = An estimate, expressed as a percentage, of the proportion that the gross amount of business to be transacted by the corporation at or from places of business in this state during the following year bears to the gross amount thereof which will be transacted by the corporation during the following year. {divide (b) by (a) and multiply by 100 to obtain the percentage}
12. This application is accompanied by a certificate of Good Standing issued by the proper officer of the state or country under the laws of which it is incorporated.
13. This Application for Certificate of Authority shall be effective upon filing unless a specified date is provided which shall be no later than the 90th day after the date of this filing \_\_\_\_\_.

Under penalty of perjury, I declare and affirm that I have examined this Application for Certificate of Authority, including any accompanying attachments, and that all statements contained herein are true and correct.

Date: 3/3/14

  
 Signature of Authorized Officer of the Corporation

Elizabeth J. Maziad

Type or Print Name of Authorized Officer

# *State of Florida*

## *Department of State*

I certify from the records of this office that RAYMOND JAMES INSURANCE GROUP, INC. is a corporation organized under the laws of the State of Florida, filed on January 23, 1968.

The document number of this corporation is 325512.

I further certify that said corporation has paid all fees due this office through December 31, 2013, that its most recent annual report/uniform business report was filed on April 29, 2013, and its status is active.

I further certify that said corporation has not filed Articles of Dissolution.

*Given under my hand and the  
Great Seal of the State of Florida  
at Tallahassee, the Capital, this  
the Fourth day of March, 2014*

2014 MAR 11 AM 10:21

SECRETARY OF STATE  
CORPORATIONS DIV



*Ken Detjen*  
**Secretary of State**

Authentication ID: CU2156603510

To authenticate this certificate, visit the following site, enter this ID, and then follow the instructions displayed.

<https://efile.sunbiz.org/certauthver.html>



# State of Rhode Island and Providence Plantations

**A. Ralph Mollis**

*Secretary of State*

## STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

I, A. RALPH MOLLIS, Secretary of State of the State of Rhode Island  
and Providence Plantations, hereby certify that this document, duly  
executed in accordance with the provisions of Title 7 of the General Laws  
of Rhode Island, as amended, has been filed in this office on this day:

A. RALPH MOLLIS

*Secretary of State*

