



State of Rhode Island and Providence Plantations  
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Limited Liability Company  
Annual Report**

Filing Period: September 1 - November 1

In accordance with R.I.G.L. 7-16-66(d), each limited liability company failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-16-66(b&c)) is subject to a penalty fee of \$25.00.

**ANNUAL REPORT YEAR:** 2014

**1. ID No.** 000162514

**2. Exact Name of the Limited Liability Company** TMFS-Providence, LLC

**3. State of Formation**

State: RI

**4. Brief Description of the Character of the Business Which is Actually Conducted in Rhode Island**

Operating The Mutual Fund Store® office in Providence, Rhode Island, which office is a franchisee of The Mutual Fund Store, Inc., a fee-based investment management firm specializing in mutual fund investing.

**5. Principal Office Address**

No. and Street: 1000 BALD HILL ROAD, SUITE 5

City or Town: WARWICK

State: RI Zip: 02886 Country: USA

**6. Mailing Address of Limited Liability Company and Name or Title of Contact Person:**

Contact Name: Contact Title:

No. and Street: 11095 METCALF

City or Town: OVERLAND PARK

State: KS

Zip: 66210

Country: USA

**7. Name and Address of Each Manager of the Limited Liability Company, if Applicable.**

**DO NOT LIST MEMBERS**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
MANAGER	CLARK GAY	11095 METCALF OVERLAND PARK, KS 66210- USA

**8. RESIDENT AGENT IN RHODE ISLAND - DO NOT ALTER  
Changes Require Filing of Form 642 - R.I.G.L. 7-16-11**

RAYMOND GUTOWSKI 1000 BALD HILL ROAD, SUITE 5 WARWICK , RI 02886

**9. This report must be executed by an authorized person pursuant to R.I.G.L. 7-16-66 (b).**

**Signed this 2 Day of September, 2014 at 12:08:31 PM by the authorized person.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the company, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-16.*

By RAYMOND GUTOWSKI  
Signature of Authorized Person

Form No. 632  
Revised 09/07

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