



**State of Rhode Island and Providence Plantations
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Limited Liability Company
Annual Report**

Filing Period: September 1 - November 1

In accordance with R.I.G.L. 7-16-66(d), each limited liability company failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-16-66(b&c)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2014

1. ID No. 000717445

2. Exact Name of the Limited Liability Company EARLY WARNING SERVICES, LLC

3. State of Formation

State: DE

4. Brief Description of the Character of the Business Which is Actually Conducted in Rhode Island

Provide risk management operating solutions to prevent fraud & risk.

5. Principal Office Address

No. and Street: 16552 N. 90TH STREET, SUITE 100

City or Town: SCOTTSDALE

State: AZ Zip: 85260 Country: USA

6. Mailing Address of Limited Liability Company and Name or Title of Contact Person:

Contact Name: Contact Title:

No. and Street: 16552 N. 90TH STREET, SUITE 100

City or Town: SCOTTSDALE

State: AZ Zip: 85260 Country: USA

**7. Name and Address of Each Manager of the Limited Liability Company, if Applicable.
DO NOT LIST MEMBERS**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
MANAGER	PAUL FINCH	EARLY WARNING SERVICES, LLC, 16552 N 90TH STREET SCOTTSDALE, AZ 85260 USA
MANAGER	MARY HARMAN	BANK OF AMERICA, N.A., 1 BRYANT PARK NEW YORK, NY 10036 USA
MANAGER	KENNETH ZIMMERMAN	WELLS FARGO BANK, N.A., 525 MARKET STREET SAN FRANCISCO, CA 94105 USA
MANAGER	CHRISTOPHER HENRY	JP MORGAN CHASE BANK, N.A., 1111 POLARIS PARKWAY COLUMBUS, OH 43240 USA
MANAGER	JOSEPH POTUZAK	BRANCH BANKING & TRUST CO., 5130 PARKWAY PLAZA BLVD.

MANAGER

JAMES WARDER

CHARLOTTE, NC 28217-1964 USA
CAPITAL ONE, N.A., 1680 CAPITAL ONE DRIVE
MCLEAN, VA 22102 USA

**8. RESIDENT AGENT IN RHODE ISLAND - DO NOT ALTER
Changes Require Filing of Form 642 - R.I.G.L. 7-16-11**

NATIONAL CORPORATE RESEARCH, LTD. 222 JEFFERSON BOULEVARD WARWICK , RI 02888

9. This report must be executed by an authorized person pursuant to R.I.G.L. 7-16-66 (b).

Signed this 21 Day of October, 2014 at 11:38:41 AM by the authorized person. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the company, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-16.*

By PAUL FINCH
Signature of Authorized Person

Form No. 632
Revised 09/07

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