



State of Rhode Island and Providence Plantations  
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

Foreign Business Corporation  
Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2015

1. Corporate ID No. 000125702

2. Name of Corporation SunTrust Robinson Humphrey, Inc.

3. Street Address Principal Business Office:

No. and Street: 3333 PEACHTREE ROAD, NE, SOUTH  
TOWER

ATLANTA FINANCIAL CENTER, FLOOR 10

City or Town: ATLANTA

State: GA Zip: 30326 Country: USA

4. Business Phone No.

404-926-5968

5. State of Incorporation

State: TN

6. Brief Description of the Character of Business Conducted in Rhode Island

Broker-dealer, securities underwriting and other capital markets and investment banking activities.

7. Names and Addresses of the Officers and Directors:

**All officers and directors must be listed.**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	JOHN N GREGG	10TH FLOOR, 3333 PEACHTREE ROAD, NE ATLANTA, GA 30326 USA
SECRETARY	DAVID T BLOOM	3333 PEACHTREE ROAD, NE ATLANTA, GA 30326 USA
CFO	DONALD R MORRIS JR.	303 PEACHTREE STREET, NE, 25TH FLOOR ATLANTA, GA 30308 USA
ASSISTANT SECRETARY	WOODRUFF A POLK	3333 PEACHTREE ROAD, NE, 10TH FLOOR

		ATLANTA, GA 30326 USA
MD, HEAD OF ASSET FINANCE	MICHAEL G MAZA	3333 PEACHTREE ROAD, NE, 10TH FLOOR ATLANTA, GA 30326 USA
MD, HEAD OF INVESTMENT BANKING & CORPORATE BANKING	MICHAEL A WHEELER	3333 PEACHTREE ROAD, NE, 10TH FLOOR ATLANTA, GA 30326 USA
MD, HEAD OF CAPITAL MARKETS SYNDICATE	GLENN L STEWART	3333 PEACHTREE ROAD, NE, 11TH FLOOR ATLANTA, GA 30326 USA
MD, HEAD OF CAPITAL MARKETS ORIGINATION	MARK D HUFFSTETLER	3333 PEACHTREE ROAD, NE, 10TH FLOOR ATLANTA, GA 30326 USA
MD, HEAD OF SALES, TRADING AND RESEARCH	ROBERT J POPOWSKI	3333 PEACHTREE ROAD, NE, 11TH FLOOR ATLANTA, GA 30326 USA
MD, HEAD OF STRATEGY, RECRUITING & PREMIUM FINANCE	R. TODD SHUTLEY	3333 PEACHTREE ROAD, NE, 10TH FLOOR ATLANTA, GA 30326 USA
MD, HEAD OF BALANCE SHEET MANAGEMENT	JOHN R JAY	3333 PEACHTREE ROAD, NE, 10TH FLOOR ATLANTA, GA 30326 USA
MD, HEAD OF MERGERS & ACQUISITIONS	GERALD J OMEARA JR.	3333 PEACHTREE ROAD, NE, 6TH FLOOR ATLANTA, GA 30326 USA
MD, EXECUTIVE CREDIT OFFICER	JO D KEELER	3333 PEACHTREE ROAD, NE, 10TH FLOOR ATLANTA, GA 30326 USA
MD & MARKET RISK OFFICER	ANAND B DUTTA	3333 PEACHTREE ROAD, NE, 11TH FLOOR ATLANTA, GA 30326 USA
DIRECTOR & CHIEF FINANCIAL OFFICER	THOMAS A KICAK	3333 PEACHTREE ROAD, NE, 9TH FLOOR ATLANTA, GA 30326 USA
MD, HEAD OF OPERATIONS	ROBERT B ROSENBERGER	303 PEACHTREE STREET, NE, 25TH FLOOR ATLANTA, GA 30326 USA
DIRECTOR	MARK S CHANCY	303 PEACHTREE STREET, NE, 30TH FLOOR ATLANTA, GA 30308 USA
DIRECTOR	HUGH S CUMMINS III	3333 PEACHTREE ROAD, NE, 10TH FLOOR ATLANTA, GA 30326 USA
DIRECTOR	ALEEM GILLANI	303 PEACHTREE STREET, NE, 30TH FLOOR ATLANTA, GA 30308 USA

## 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$1.0000	100,000.00	100000

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 16 Day of January, 2015 at 4:55:05 PM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By DAVID T. BLOOM  
Signature of Authorized Representative of the Corporation

**This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.**

Form No. 630  
Revised 09/07

© 2007 - 2015 State of Rhode Island and Providence Plantations  
All Rights Reserved