



State of Rhode Island and Providence Plantations  
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Business Corporation  
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

**ANNUAL REPORT YEAR:** 2015

**1. Corporate ID No.** 000108043

**2. Name of Corporation** Mass Investment Corporation

**3. Street Address Principal Business Office:**

No. and Street: ONE CITIZENS PLAZA

City or Town: PROVIDENCE

State: RI

Zip: 02903

Country: USA

**4. Business Phone No.**

**5. State of Incorporation**

State: RI

**6. Brief Description of the Character of Business Conducted in Rhode Island**

HOLDS INVESTMENT SECURITIES

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed. If officers and/or directors have been elected, the title Incorporator is no longer applicable; please delete.**

| Title     | Individual Name<br>First, Middle, Last, Suffix | Address<br>Address, City or Town, State, Zip Code, Country |
|-----------|------------------------------------------------|------------------------------------------------------------|
| PRESIDENT | DAVID S. ANDERSON                              | ONE CITIZENS PLAZA<br>PROVIDENCE, RI 02903 USA             |
| TREASURER | CLAIRE Y. COSTA                                | ONE CITIZENS PLAZA<br>PROVIDENCE, RI 02903 USA             |
| SECRETARY | GARY A. ASHJIAN                                | ONE CITIZENS PLAZA<br>PROVIDENCE, RI 02903 USA             |
| DIRECTOR  | JOSEPH DEWHIRST                                | ONE CITIZENS PLAZA<br>PROVIDENCE, RI 02903 USA             |

DIRECTOR

DAVID LINDENAUER

ONE CITIZENS PLAZA  
PROVIDENCE, RI 02903 USA**8. Shares Authorized and Issued**

| Class of Stock | Series of Stock | Par Value Per Share | Total Authorized<br>Shares<br><i>Number of Shares</i> | Total Issued<br>and<br>Outstanding<br><i>Num of<br/>Shares</i> |
|----------------|-----------------|---------------------|-------------------------------------------------------|----------------------------------------------------------------|
| CWP            |                 | \$0.0100            | 1,000.00                                              | 100                                                            |

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 10 Day of February, 2015 at 10:37:42 AM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By GARY A. ASHJIAN

Signature of Authorized Representative of the Corporation

**This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.**

Form No. 630  
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