



State of Rhode Island and Providence Plantations
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

Foreign Business Corporation
Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2015

1. Corporate ID No. 000102781

2. Name of Corporation Citigroup Global Markets Inc.

3. Street Address Principal Business Office:

No. and Street: 388 GREENWICH STREET

City or Town: NEW YORK

State: NY

Zip: 10013

Country: USA

4. Business Phone No.

8136048115

5. State of Incorporation

State: NY

6. Brief Description of the Character of Business Conducted in Rhode Island

IT IS INTENDED THAT IN THE NEAR FUTURE IT WILL BE A REGISTERED BROKER-DEALER HOLDING COMPANY

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	JAMES A FORESE	388 GREENWICH STREET NEW YORK, NY 10013 USA
TREASURER	PETER MOZER	388 GREENWICH ST NEW YORK, NY 10013 USA
SECRETARY	SCOTT L FLOOD	388 GREENWICH ST NEW YORK, NY 10013 USA
VICE PRESIDENT	LISA A HOFFMAN	3800 CITIGROUP CENTER DRIVE TAMPA, FL 33610 USA

DIRECTOR	JAMES A FORESE	388 GREENWICH ST NEW YORK, NY 10013 USA
DIRECTOR	WILLIAM J MILLS	399 PARK AVE NEW YORK, NY 10022 USA
DIRECTOR	RAYMOND J MCGUIRE	388 GREENWICH ST NEW YORK, NY 10013 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$10,000.0000	1,000.00	1000
PWP		\$10,000.0000	100.00	0

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 19 Day of February, 2015 at 10:44:39 AM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By LISA A. HOFFMAN
Signature of Authorized Representative of the Corporation

This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.

Form No. 630
Revised 09/07

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